

COMMUNICATING EARTHQUAKE HAZARDS: LESSONS FROM EARTHQUAKE ALERTING

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NATURAL HAZARDS

How low should we go when warning for earthquakes?

Social responses to alerts are critical but understudied

By Elizabeth S. Cochran¹ and Allen L. Husker² |

A DISCUSSION
OF EARTHQUAKE
EARLY WARNING

→ Detour
USGS research and products

USGS CORE ACTIVITIES



Fundamental Research



Information Products

INDUCED SEISMICITY RESEARCH

Objectives:

- *Understand and mitigate the hazards associated with earthquakes that are induced by human activities.*
- *Better understand the physics of earthquake failure.*

Basic earthquake science

- Conditions and stress changes that lead to fault slip
- What causes ruptures to start and stop
- Role of fluids in triggering slip
- Ground motion variability

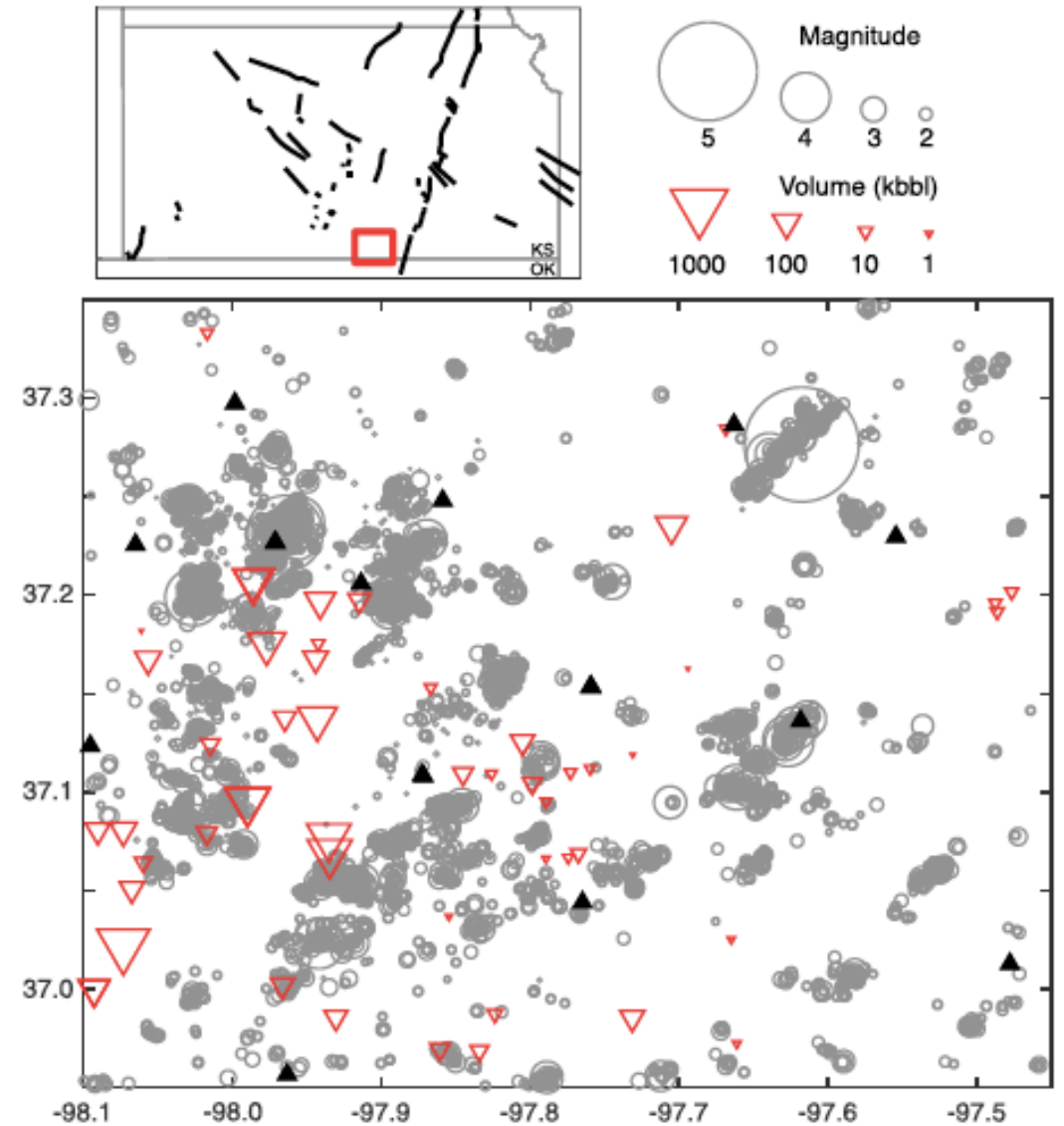
Direct application of science to reduce hazard

- Identification of risk factors for IS
- Inform regulations
- Short-term hazard forecasts
- Injection protocols
- Risks of sudden shut-in versus flowback

Temporary Seismic Networks

Example - Kansas:

- 2014-2019
- 15 stations
- Real-time data sent to NEIC, archived at IRIS
- Automated catalog complete to M1.3, catalog published in ComCat



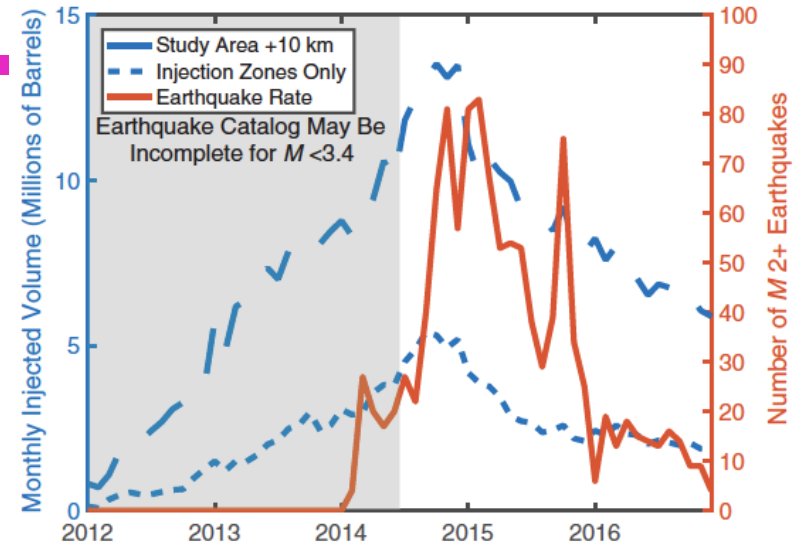
Rubinstein et al. (2018); Cochran et al. (2019)



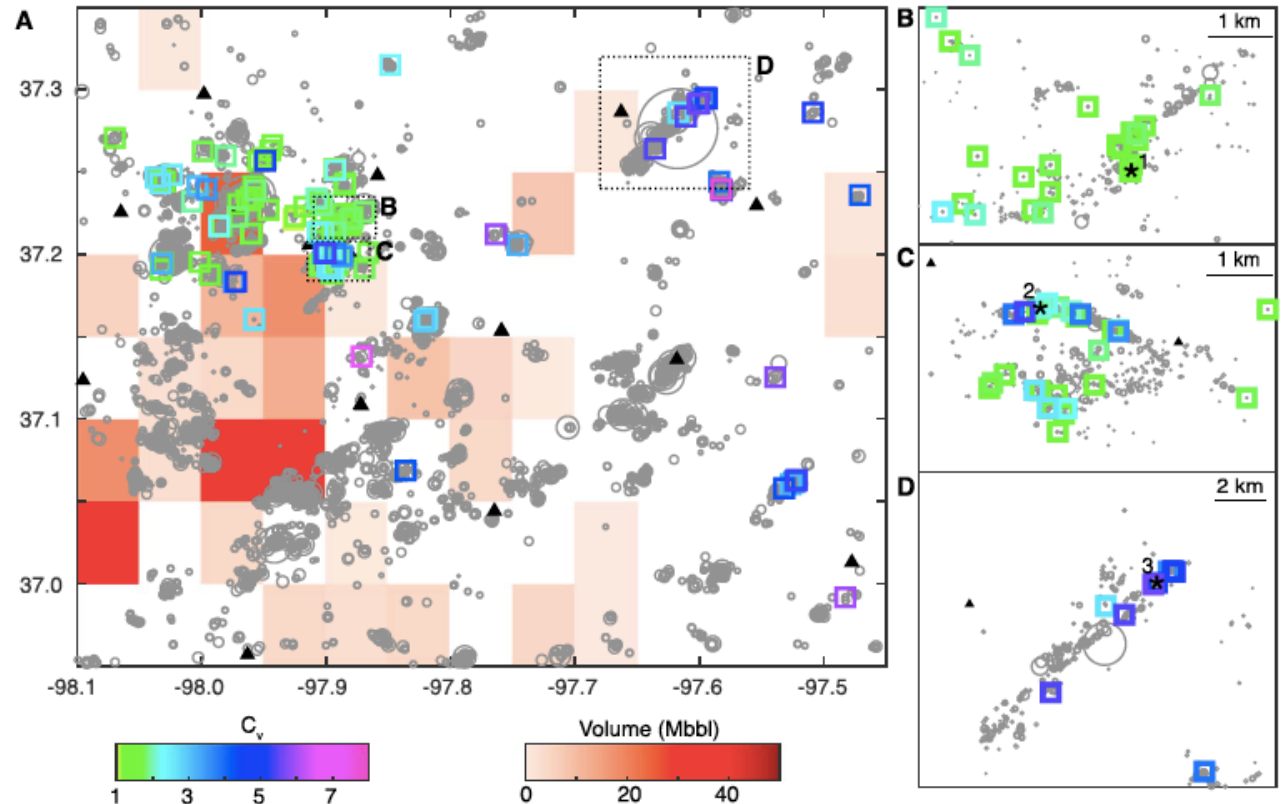
Kansas Findings

- Small stresses are enough to induce earthquakes (15-20 kPa)
- Events close to injectors occur at regular rates over long time periods (not clustered)
 - 1-3 km halos of scant seismicity surrounding injection wells
 - Local geology is likely controlling occurrence of seismicity

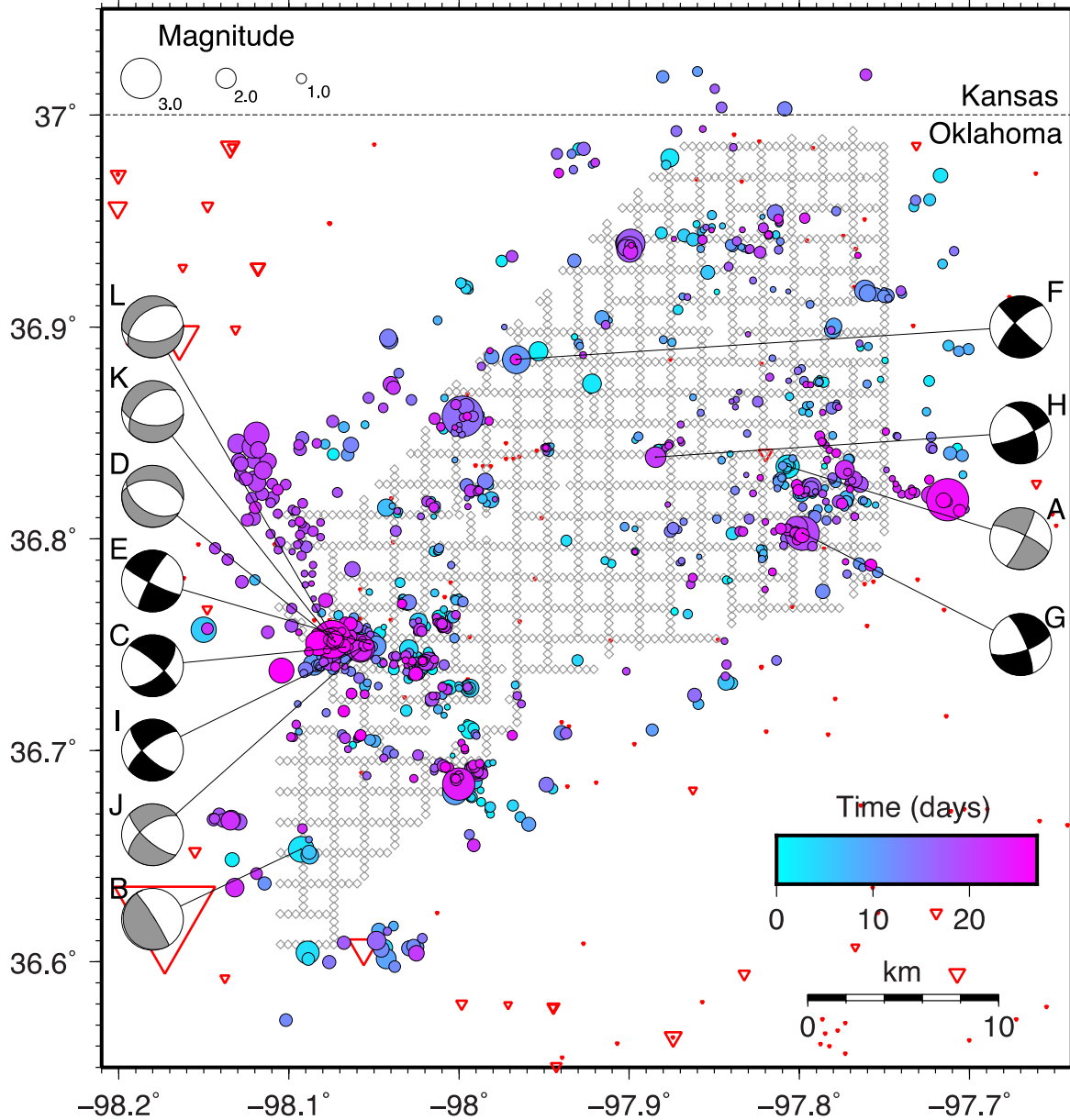
2020 deployment starting in southern New Mexico to examine seismicity in Permian Basin



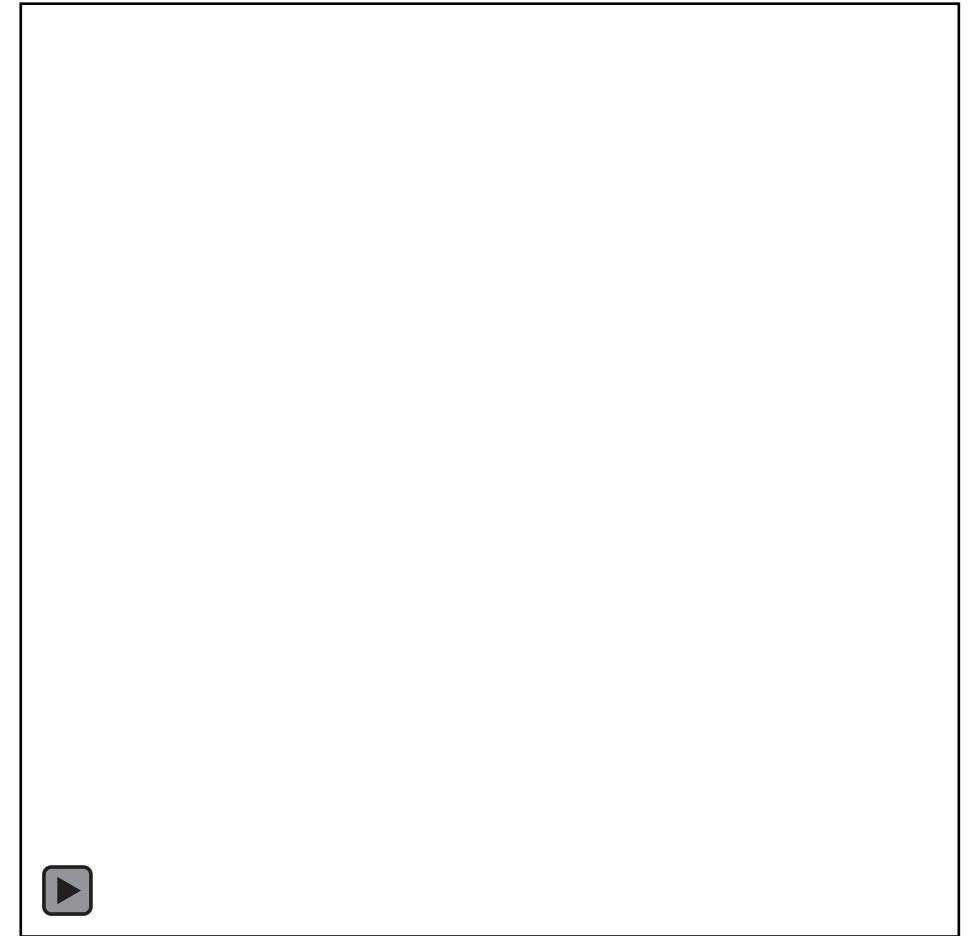
Rubinstein et al. (2018)
Cochran et al. (2019)



NODAL DEPLOYMENTS



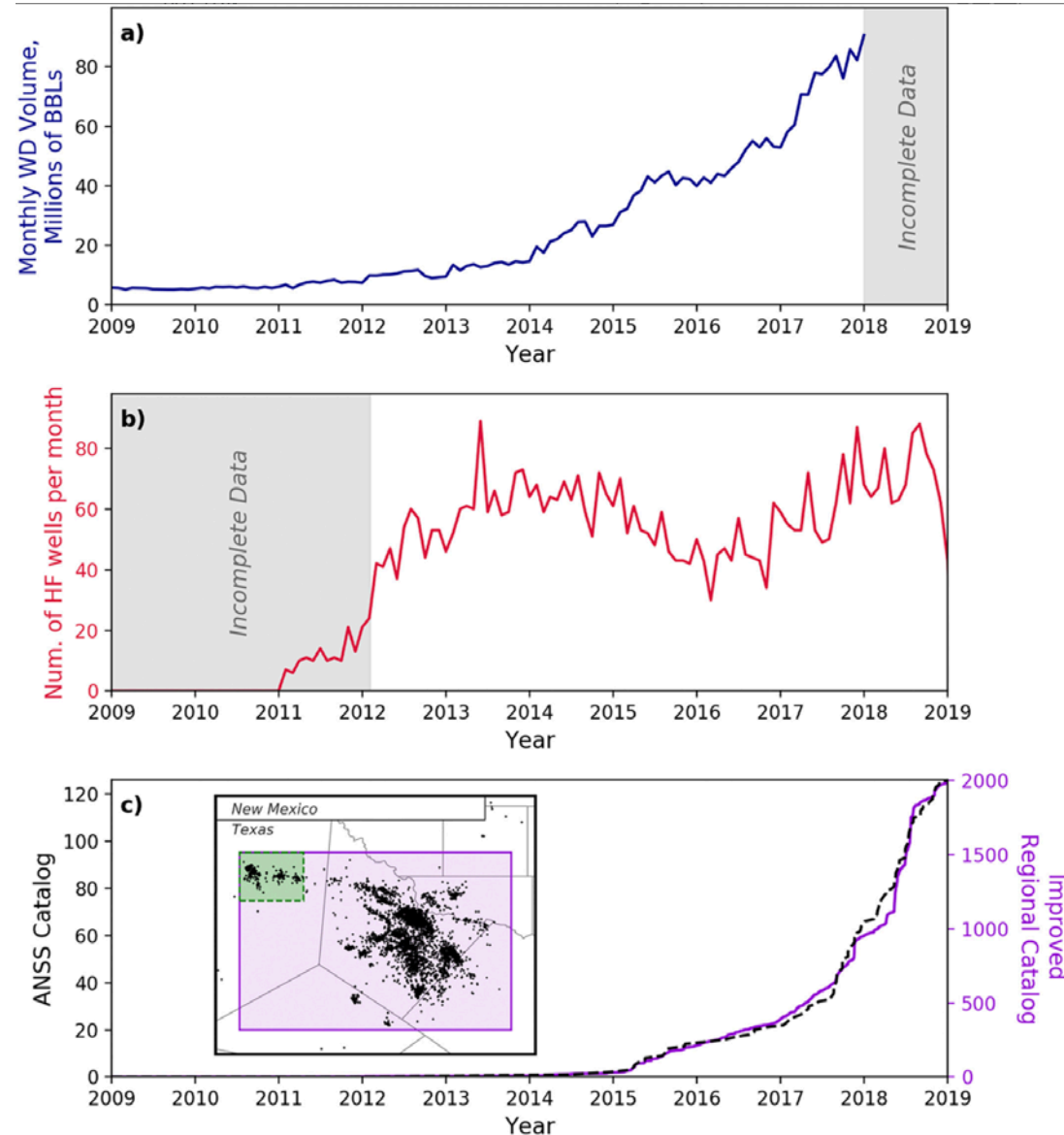
2016 Grant County,
Oklahoma



Dougherty et al. (2019); Cochran et al. (in press)

IMPROVED DETECTION METHODS

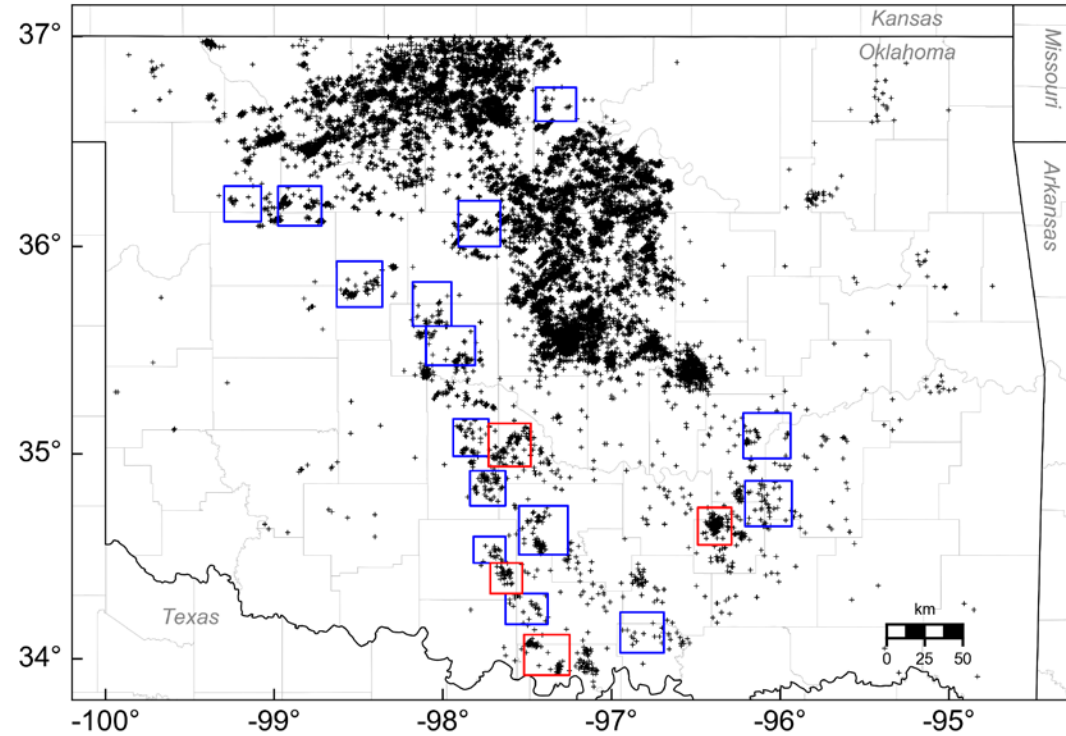
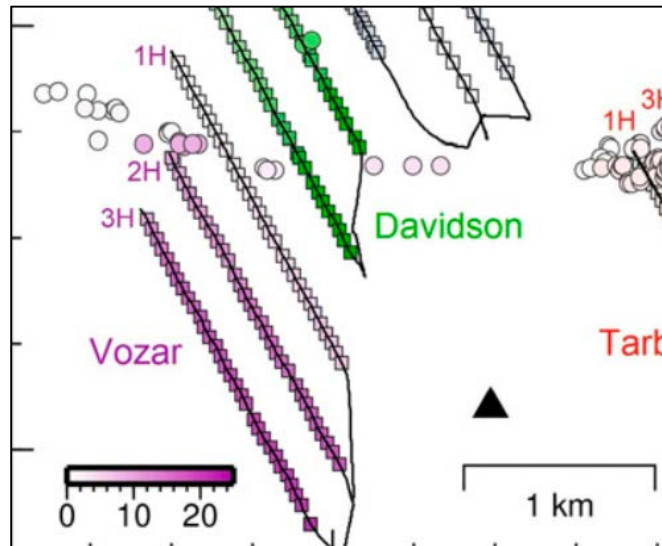
- Correlation detections
 - 10x increase in detections
 - Identified a productive foreshock sequence prior to the Pawnee EQ
 - Identified that hydraulic fracturing induced EQs are common in Oklahoma.
 - Earthquake occurrence in the Permian Basin, TX.



Skoumal et al. (2019)

Hydraulic Fracturing and Earthquakes

- ~3% of seismicity in OK
- Short-lived
- Small magnitude
- Evidence of larger EQs elsewhere



AGU100 ADVANCING EARTH AND SPACE SCIENCE

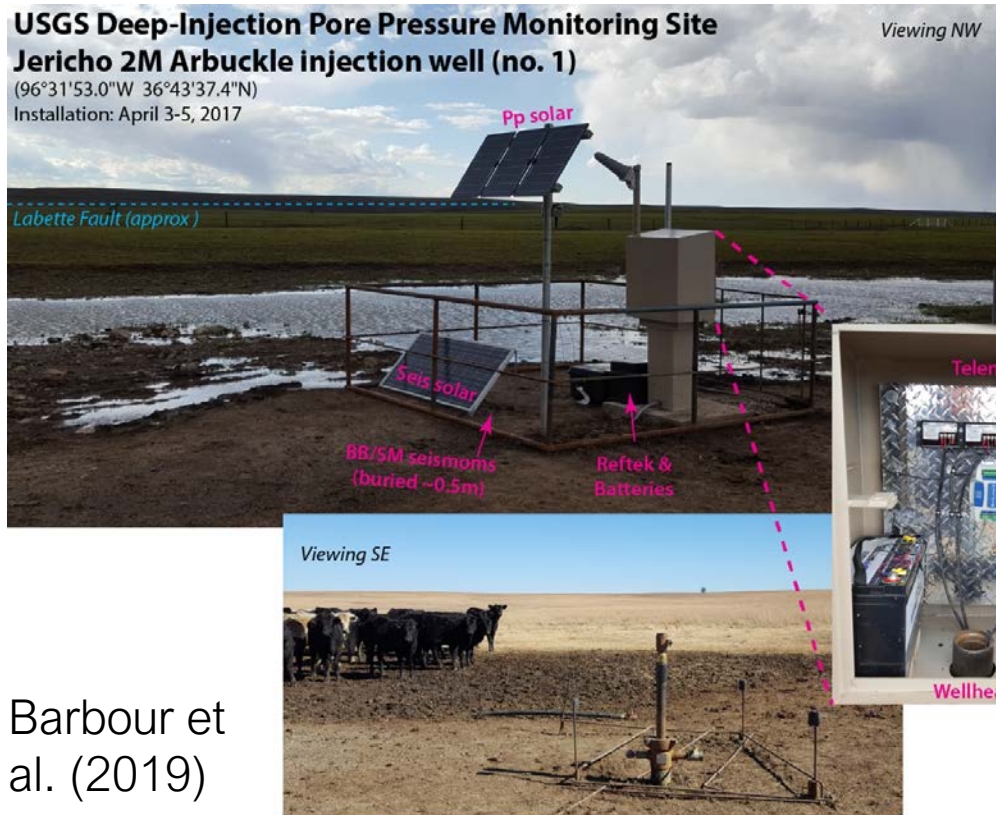
JGR

Journal of Geophysical Research: Solid Earth

RESEARCH ARTICLE Earthquakes Induced by Hydraulic Fracturing Are Pervasive in Oklahoma
10.1029/2018JB016790

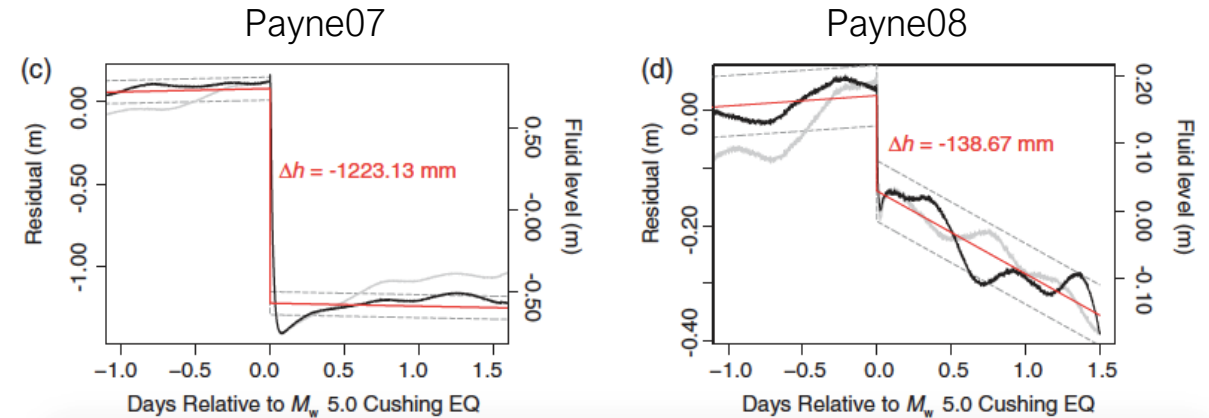
Key Points:
• Recent seismicity in Oklahoma is primarily induced by wastewater disposal, but hydraulic fracturing has

Robert J. Skoumal¹, **Rosamiel Ries²**, **Michael R. Brudzinski²**, **Andrew J. Barbour¹**, and **Brian S. Currie²**



Barbour et al. (2019)

Static water level change in Arbuckle due to M5.0 Cushing



Kroll et al. (2017)

DOWNHOLE PORE PRESSURE MONITORING

- Evidence of fluid migration from the Arbuckle into basement. Slow consistent pressure increase in Arbuckle.
 - 1 USGS station in Osage County with co-located seismometer (2 additional sensor packages available)
- Static fluid level changes in response to nearby earthquakes
 - OGS network

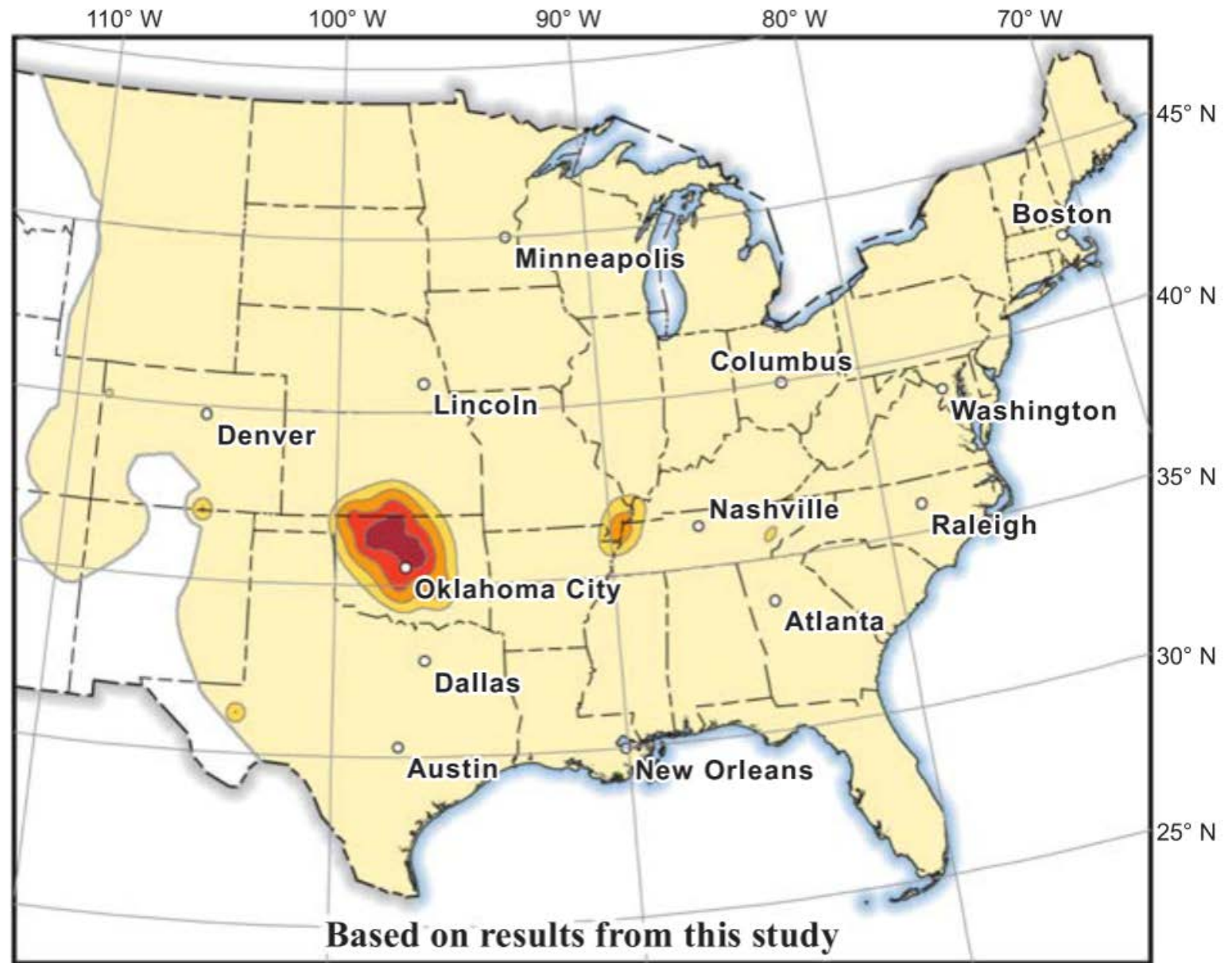
HAZARD FORECASTING

1-Year Hazard Maps

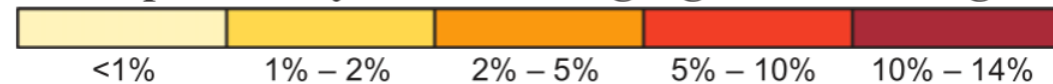
- Induced + natural hazard
- Releases for 2016, 2017, 2018
- No release for 2019
- 10x increase in hazard

Purely Statistical Methodology

Petersen et al. (2015, 2016, 2017, 2018)



Chance of potentially minor-damage* ground shaking in 2018



Hydromechanical Induced EQ Forecasts

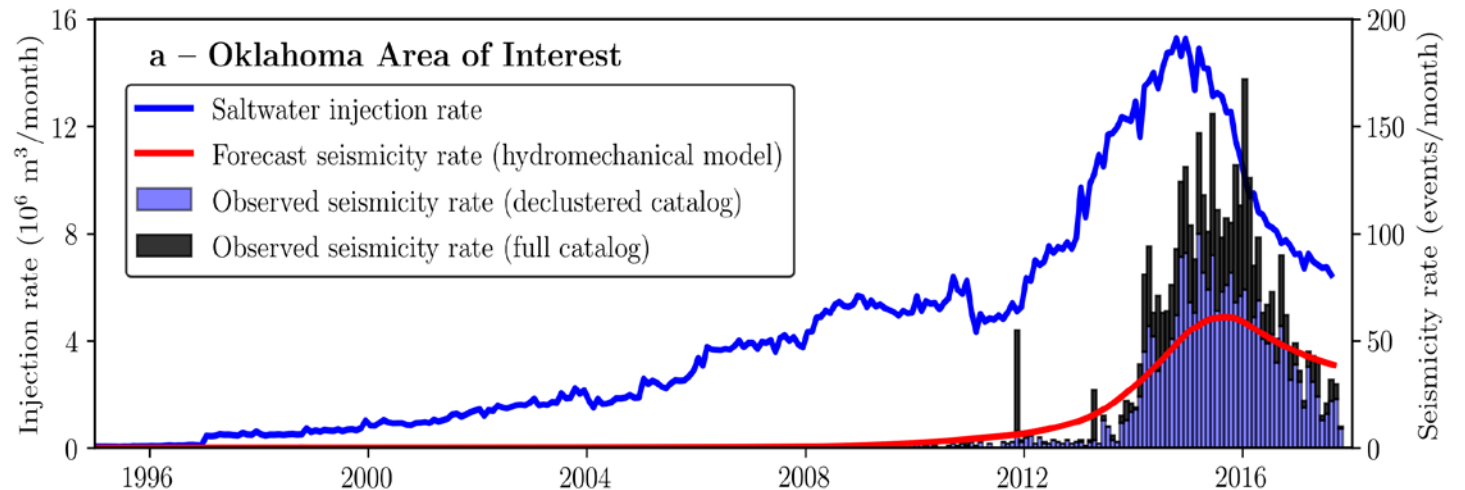
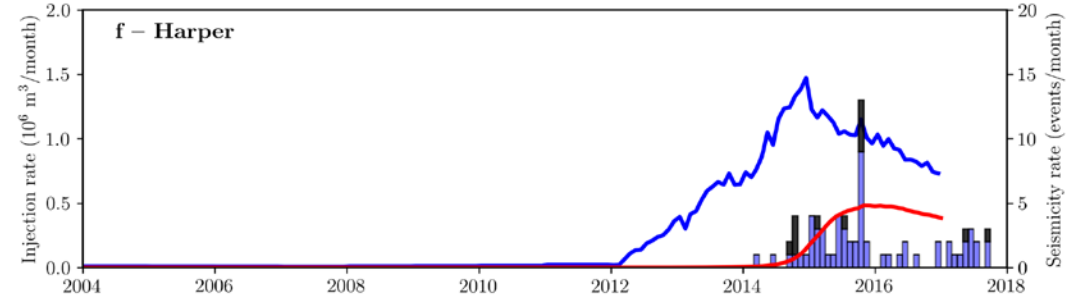
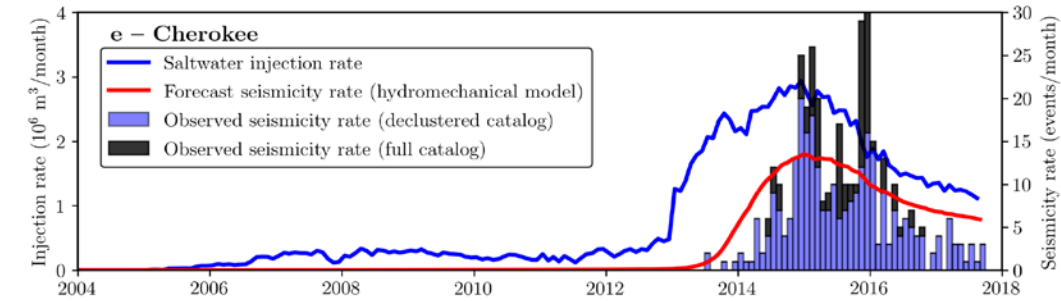
- Reservoir model to capture first-order effects
- Pressure changes are dominated by compressibility effect

$$\dot{p} = \frac{q}{V\phi\beta}$$

- Forecast earthquake rates w/ Rate and State (Dieterich '94)

$$\frac{dR}{dt} = \frac{R}{t_{c0}} \left(\frac{\dot{s}}{\dot{s}_0} - R \right)$$

Norbeck and Rubinstein (2018)



Other Induced Topics

- Geothermal and EGS
- CCS
- Reservoir impoundment
- Other

On-going research:

Microseismicity and hazard associated with CO2 sequestration

Ambient noise tomography to (perhaps) detect CO2 plume

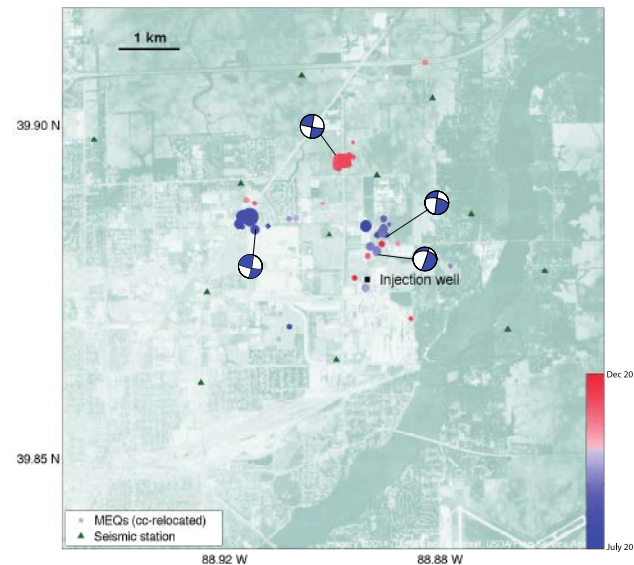
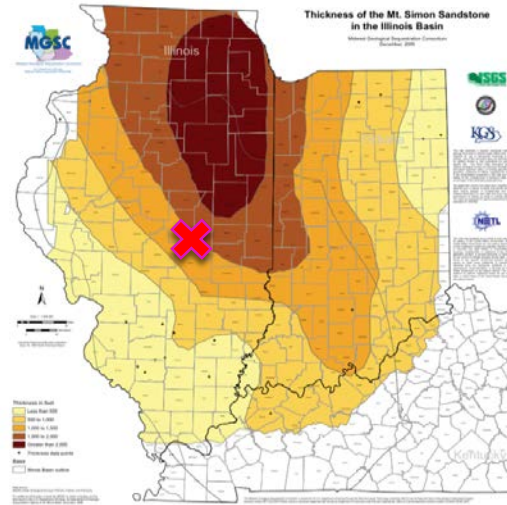
Deformation observations and modeling in geothermal fields

Pressure evolution in reservoirs

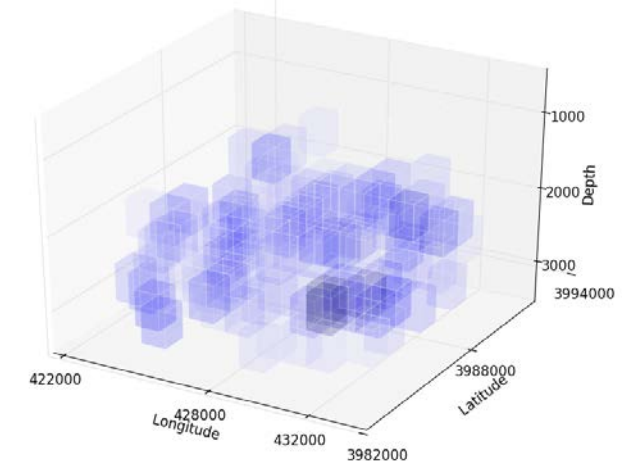
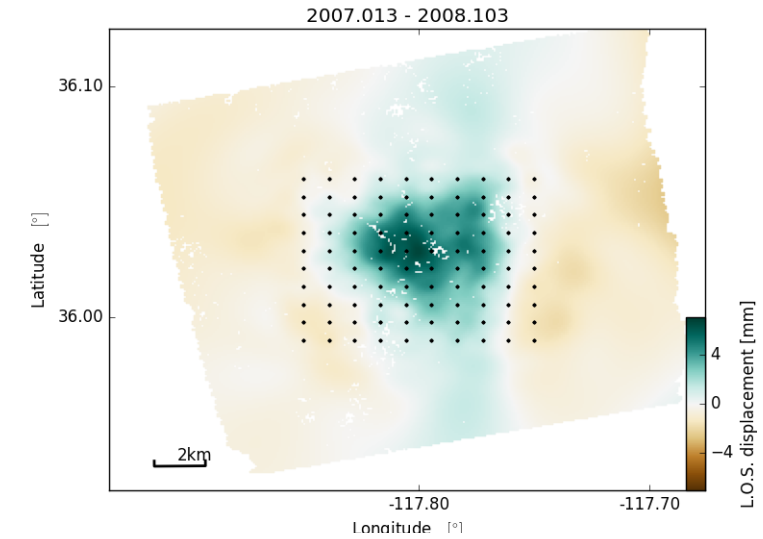
Monitoring using fiber-optic DAS

Kaven, Barbour, McGarr, etc.

CCS Seismic Monitoring - Decatur



Long-term Geothermal Field Deformation and Modeling



Coso Geothermal Field

USGS CORE ACTIVITIES



Fundamental Research



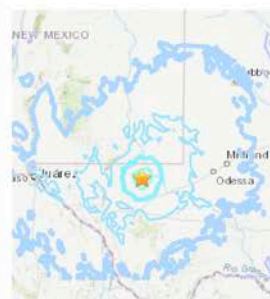
Information Products

USGS POST-QUAKE INFORMATION PRODUCTS

M 5.0 - 41km W of Mentone, Texas

2020-03-26 15:16:27 (UTC) | 31.708°N 104.039°W | 6.6 km depth

[Interactive Map](#)



Contributed by US²

[Regional Information](#)



Contributed by US²

[Felt Report - Tell Us!](#)

0 0 2 0 3 0

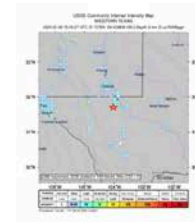
Responses

Contribute to citizen science.
Please [tell us](#) about your experience.

Citizen Scientist Contributions

[Did You Feel It?](#)

V



Community Internet Intensity
Map

Contributed by US²

[ShakeMap](#)

VI

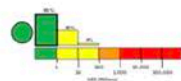


Estimated Intensity Map

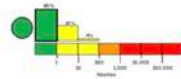
Contributed by US²

[PAGER](#)

GREEN



Estimated Economic Losses



Estimated Fatalities

Contributed by US²

[Ground Failure](#)

Landslide Estimate



Little or no area
affected

Little or no population
exposed

Liquefaction Estimate



Little or no area
affected

Little or no population
exposed

Contributed by US²

[Origin](#)

Review Status

REVIEWED

Magnitude

5.0 mww

Depth

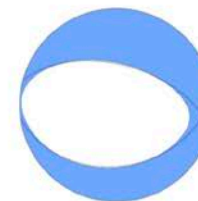
6.6 km

Time

2020-03-26 15:16:27 UTC

Contributed by US²

[Moment Tensor](#)



Fault Plane Solution

Contributed by US²

[Aftershock Forecast](#)

Be ready for more
earthquakes.

Our model of the expected
numbers and odds of future
earthquakes.

Contributed by US²

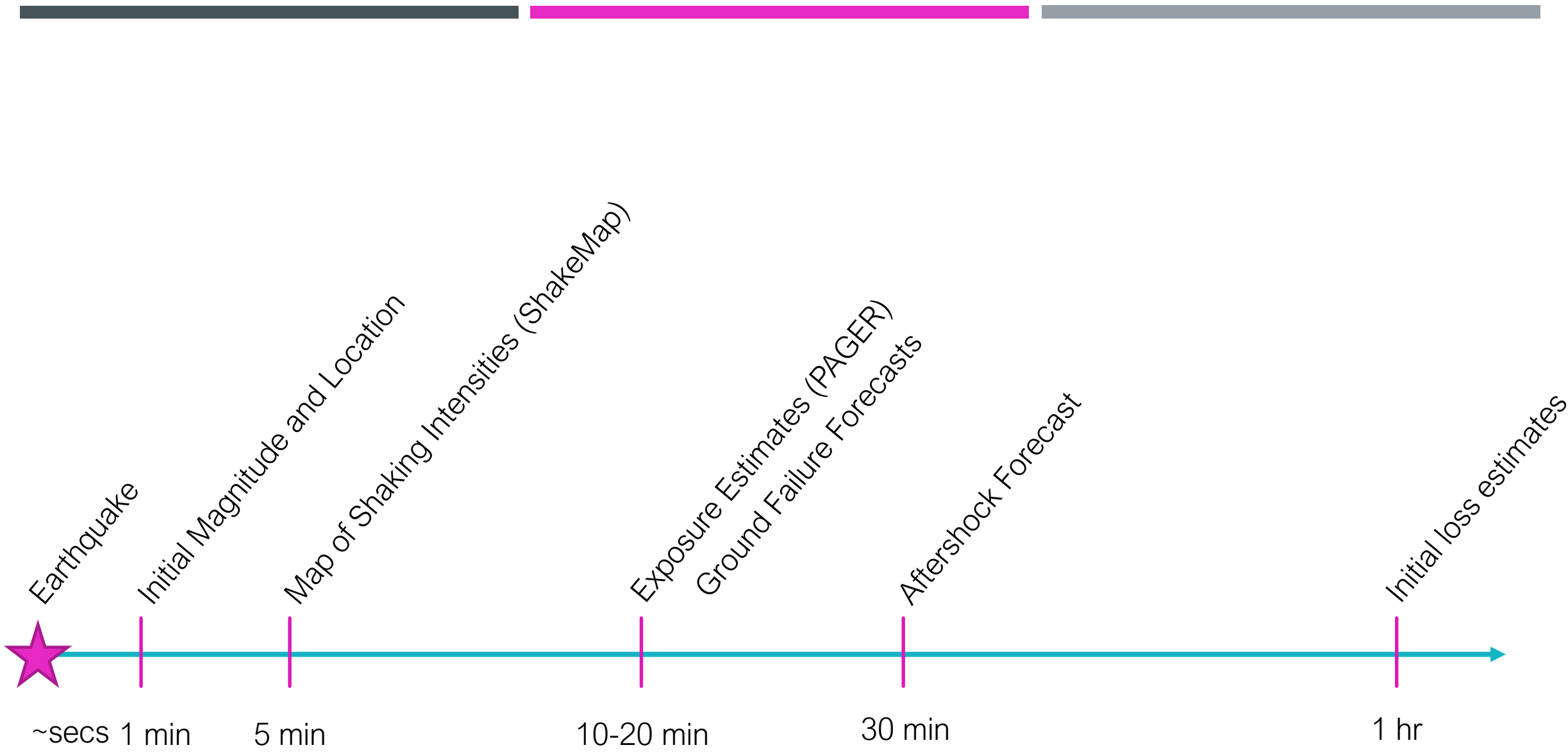
[View Nearby Seismicity](#)

Time Range

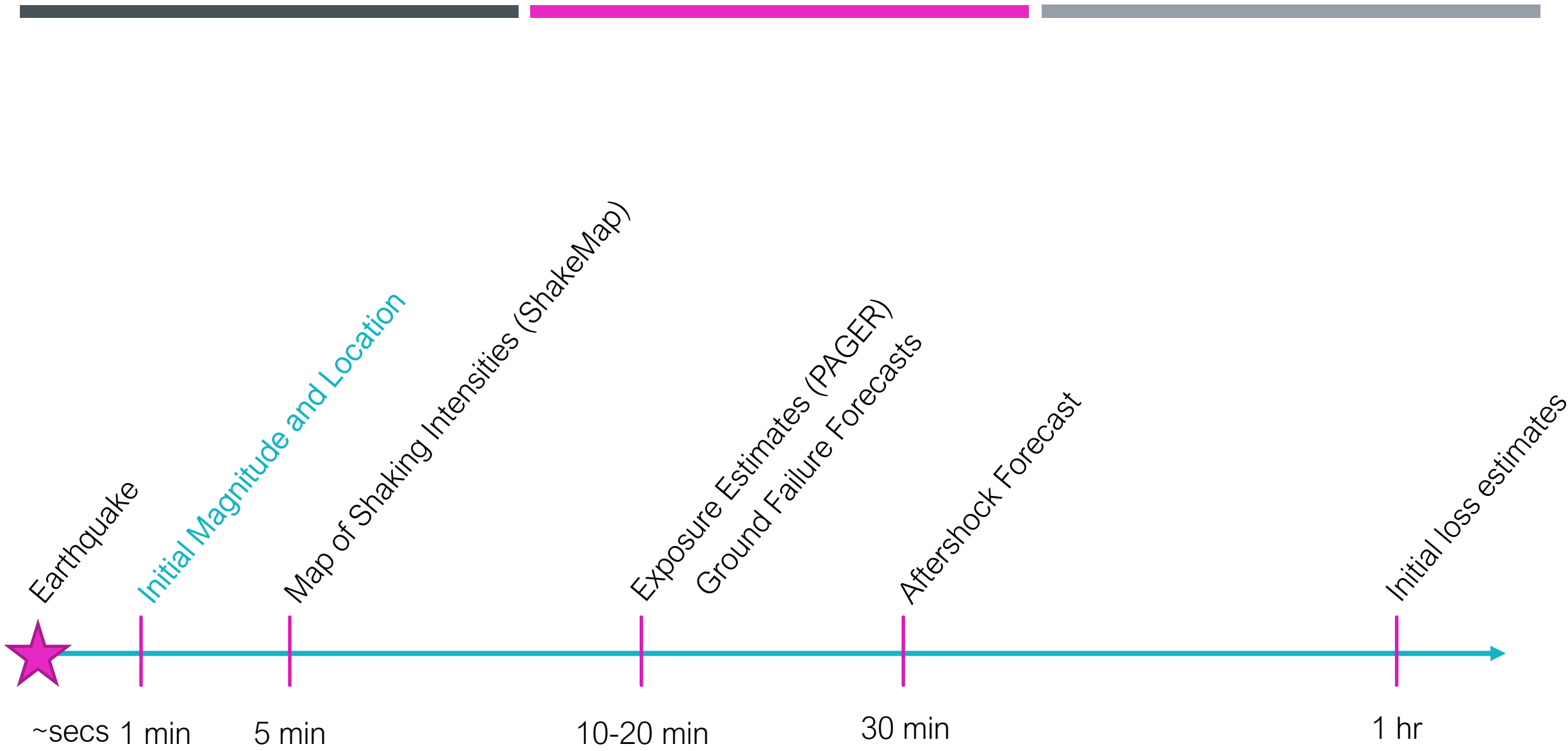


± Three Weeks

Search Radius



Information products are updated as new data become available

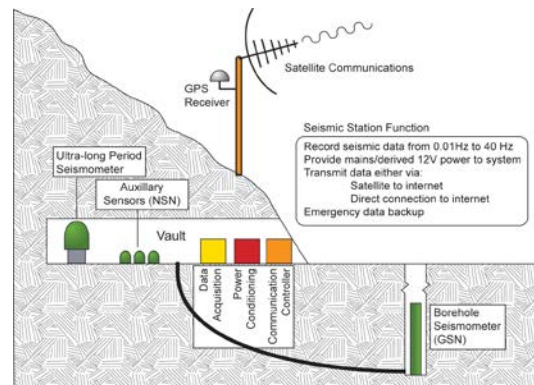


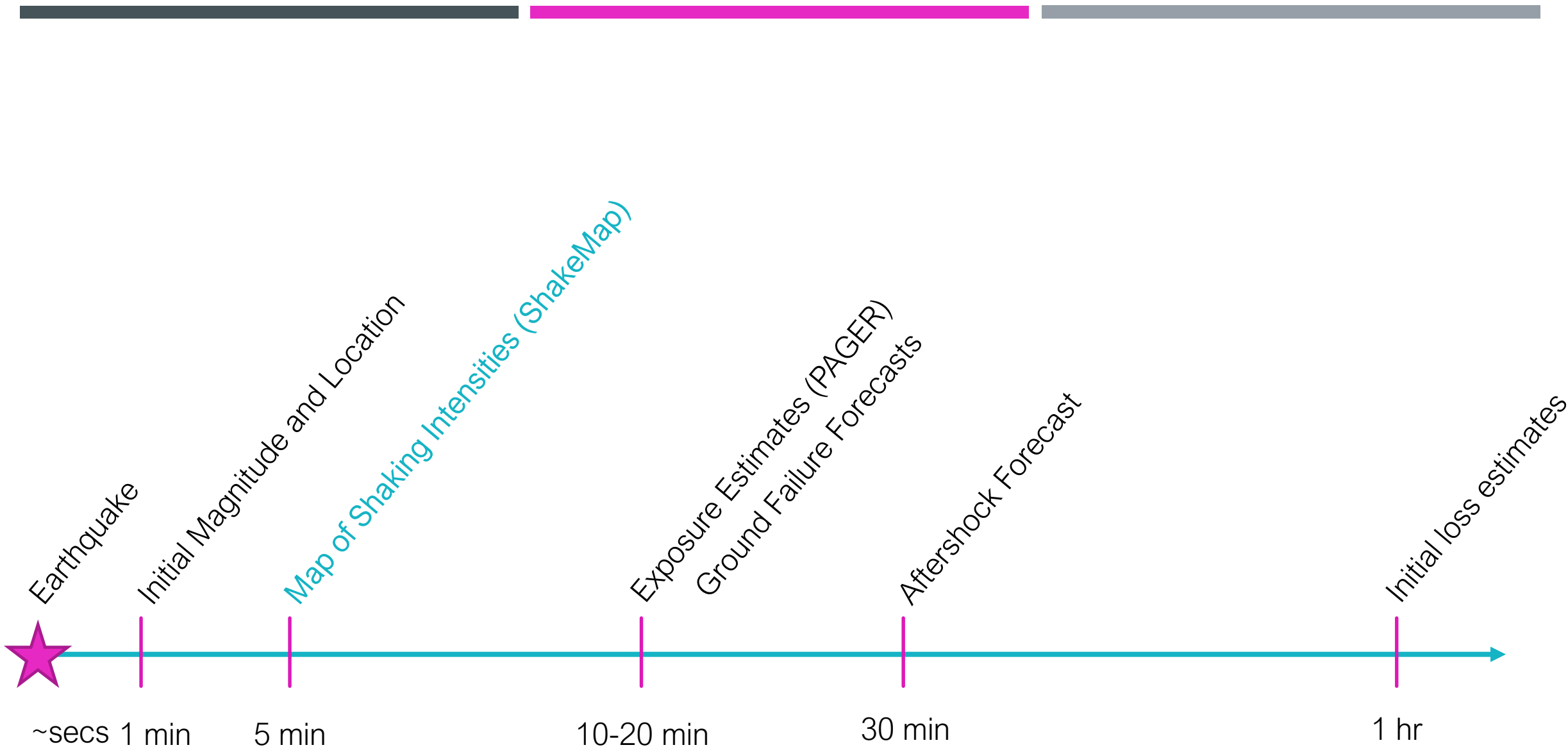
Information products are updated as new data become available

SEISMIC MONITORING

- Advanced National Seismic System
 - Backbone network
 - **Regional and partner networks**

- Monitoring of small to moderate earthquakes depends on sufficient near-source station coverage





Information products are updated as new data become available

Seismic Station Data

+

Did You Feel It?

=

ShakeMap

NMP02 New Mexico Permian - Site# 02

IV
mmi

0.58 %g
pga

0.34 cm/s
pgv

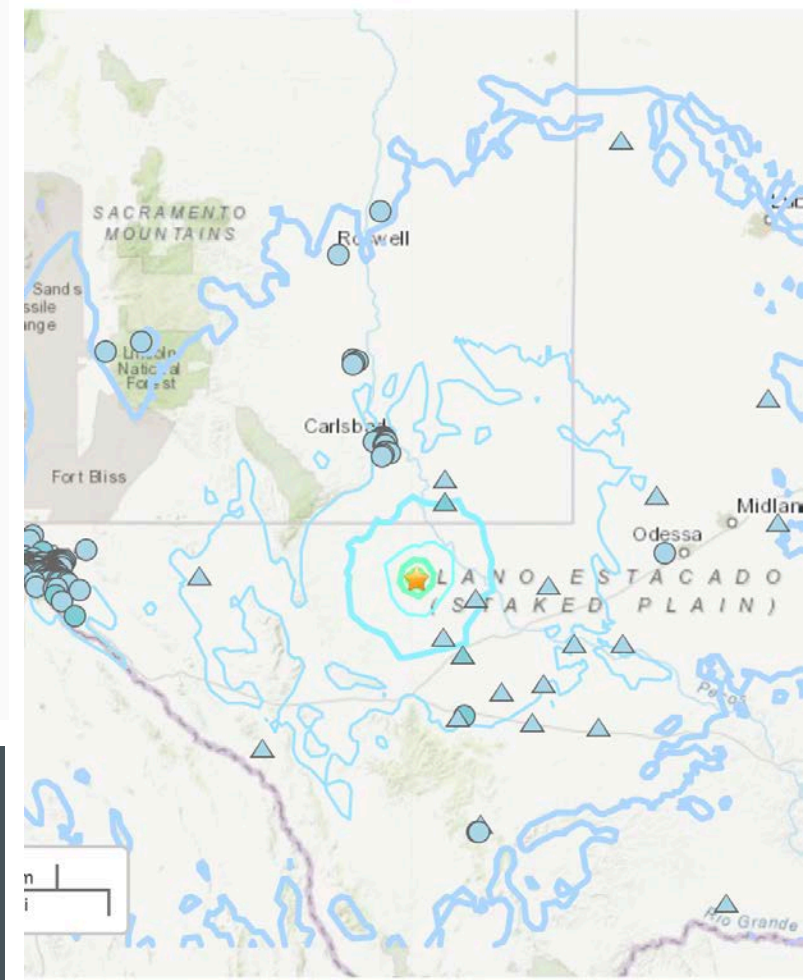
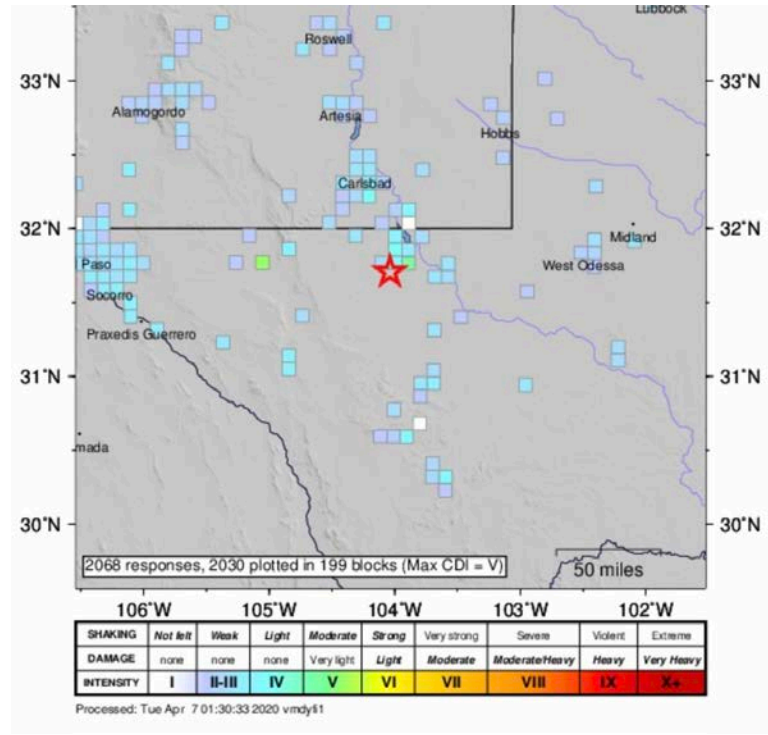
43.84 km
dist

Details

| | |
|-----------|--------------------|
| Network | GM |
| Location | 32.089°N 103.861°W |
| Source | GM |
| Intensity | 3.7 |

Channels

| Name | PGA | PGV | PSA(03) | PSA(10) | PSA(30) |
|-------|---------|-----------|---------|---------|---------|
| HNE | 0.51 %g | 0.22 cm/s | 1.11 %g | 0.28 %g | 0.03 %g |
| HNN | 0.53 %g | 0.34 cm/s | 1.36 %g | 0.34 %g | 0.04 %g |
| HNZ | 0.30 %g | 0.15 cm/s | 0.59 %g | 0.23 %g | 0.03 %g |
| --HNE | 0.50 %g | 0.22 cm/s | 0.73 %g | 0.27 %g | 0.02 %g |
| --HNN | 0.58 %g | 0.34 cm/s | 0.81 %g | 0.33 %g | 0.04 %g |



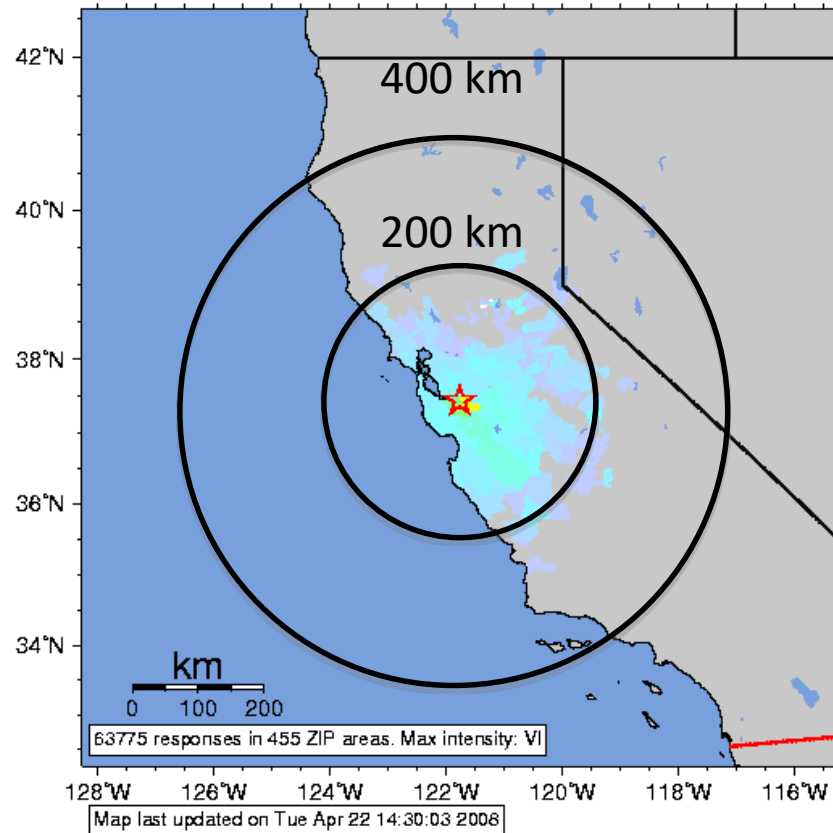
SHAKEMAP

| G | Not felt | Weak | Light | Moderate | Strong | Very strong | Severe | Violent |
|----|----------|--------|-------|------------|--------|-------------|----------------|---------|
| E | None | None | None | Very light | Light | Moderate | Moderate/heavy | Heavy |
| s) | <0.01 | 0.08 | 0.95 | 4.99 | 8.76 | 15.4 | 27 | 47.4 |
| Y | I | II-III | IV | V | VI | VII | VIII | IX |

Based on Atkinson and Kaka (2007) Version 11: Processed 2020-03-28
 c Instrument o Reported Intensity ★ Epicenter

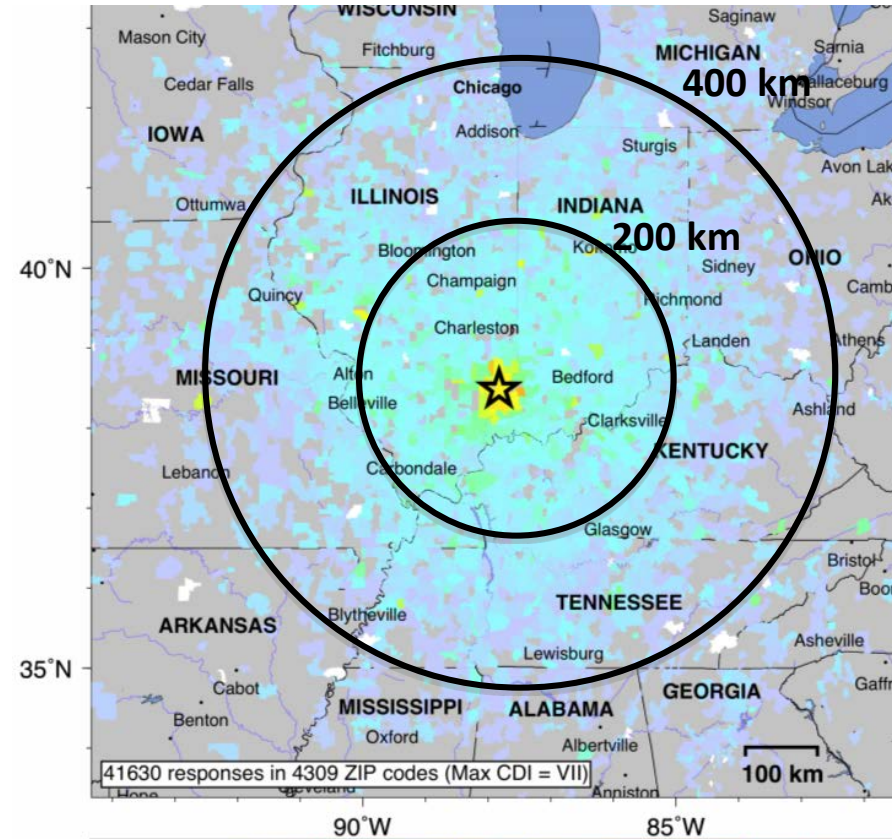
CENTRAL AND EASTERN US EARTHQUAKES ARE WIDELY FELT

2007 M5.6 California



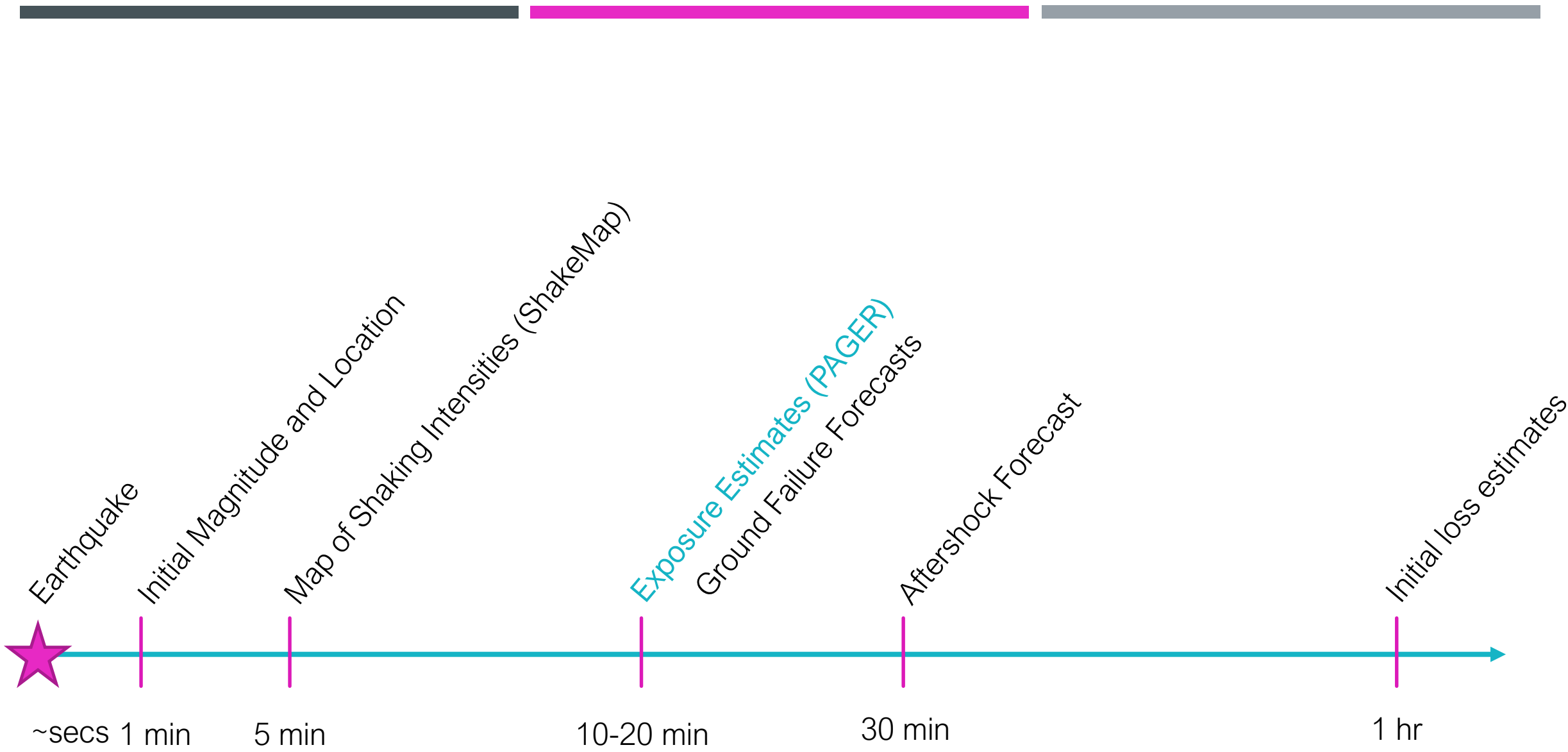
| INTENSITY | I | II-III | IV | V | VI | VII | VIII | IX | X+ |
|-----------|----------|--------|-------|------------|--------|-------------|----------------|---------|------------|
| SHAKING | Not felt | Weak | Light | Moderate | Strong | Very strong | Severe | Violent | Extreme |
| DAMAGE | none | none | none | Very light | Light | Moderate | Moderate/Heavy | Heavy | Very Heavy |

2008 M5.4 Illinois



| INTENSITY | I | II-III | IV | V | VI | VII | VIII | IX | X+ |
|-----------|----------|--------|-------|------------|--------|-------------|----------------|---------|----------|
| SHAKING | Not felt | Weak | Light | Moderate | Strong | Very strong | Severe | Violent | Extreme |
| DAMAGE | none | none | none | Very light | Light | Moderate | Moderate/Heavy | Heavy | V. Heavy |

Comparison of public reports of shaking to USGS's Did You Feel It?



Information products are updated as new data become available

PAGER — PROMPT ASSESSMENT OF GLOBAL EARTHQUAKES FOR RESPONSE

- Estimates exposure based on population and shaking levels
- Available for M5.5 earthquakes globally
- Initial product within 20-30 mins



Earthquake Shaking **Yellow Alert**



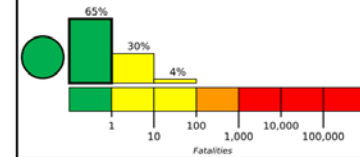
M 7.1, 17km NNE of Ridgecrest, CA

Origin Time: 2019-07-06 03:19:52 UTC (Fri 20:19:52 local)
Location: 35.7665° N 117.6048° W Depth: 17.0 km

Created: 12 hours, 43 minutes after earthquake

PAGER
Version 6

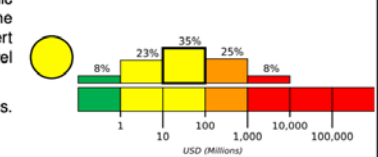
Estimated Fatalities



Yellow alert for economic losses. Some damage is possible and the impact should be relatively localized. Estimated economic losses are less than 1% of GDP of the United States. Past events with this alert level have required a local or regional level response.

Green alert for shaking-related fatalities. There is a low likelihood of casualties.

Estimated Economic Losses

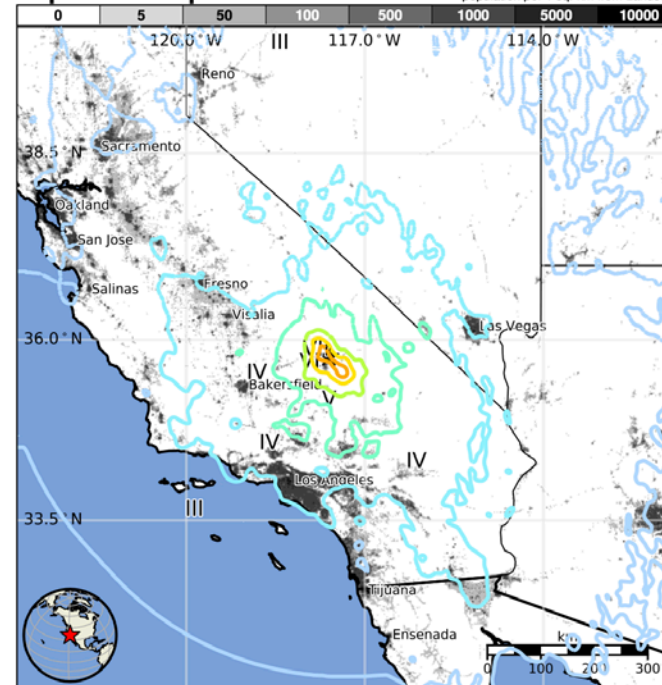


Estimated Population Exposed to Earthquake Shaking

| ESTIMATED POPULATION EXPOSURE (k=x1000) | —* | 28,546k* | 21,546k | 602k | 2k | 45k | 0 | 0 | 0 | |
|---|-----------------------|----------|---------|----------|----------|-------------|------------|------------|----------|----------|
| ESTIMATED MODIFIED MERCALLI INTENSITY | I | II-III | IV | V | VI | VII | VIII | IX | X+ | |
| PERCEIVED SHAKING | Not felt | Weak | Light | Moderate | Strong | Very Strong | Severe | Violent | Extreme | |
| POTENTIAL DAMAGE | Resistant Structures | None | None | None | V. Light | Light | Moderate | Mod./Heavy | Heavy | V. Heavy |
| | Vulnerable Structures | None | None | None | Light | Moderate | Mod./Heavy | Heavy | V. Heavy | V. Heavy |

*Estimated exposure only includes population within the map area.

Population Exposure



Structures

Overall, the population in this region resides in structures that are highly resistant to earthquake shaking, though some vulnerable structures exist. The predominant vulnerable building types are unreinforced brick masonry and reinforced masonry construction.

Historical Earthquakes

| Date (UTC) | Dist. Mag. (km) | Max MMI(#) | Shaking Deaths |
|------------|-----------------|------------|----------------|
| 1991-06-28 | 174 5.6 | VI(1,267k) | 1 |
| 1992-06-28 | 201 7.3 | VIII(23k) | 1 |
| 1971-02-09 | 167 6.6 | IX(21k) | 65 |

Recent earthquakes in this area have caused secondary hazards such as tsunamis, landslides and liquefaction that might have contributed to losses.

Selected City Exposure

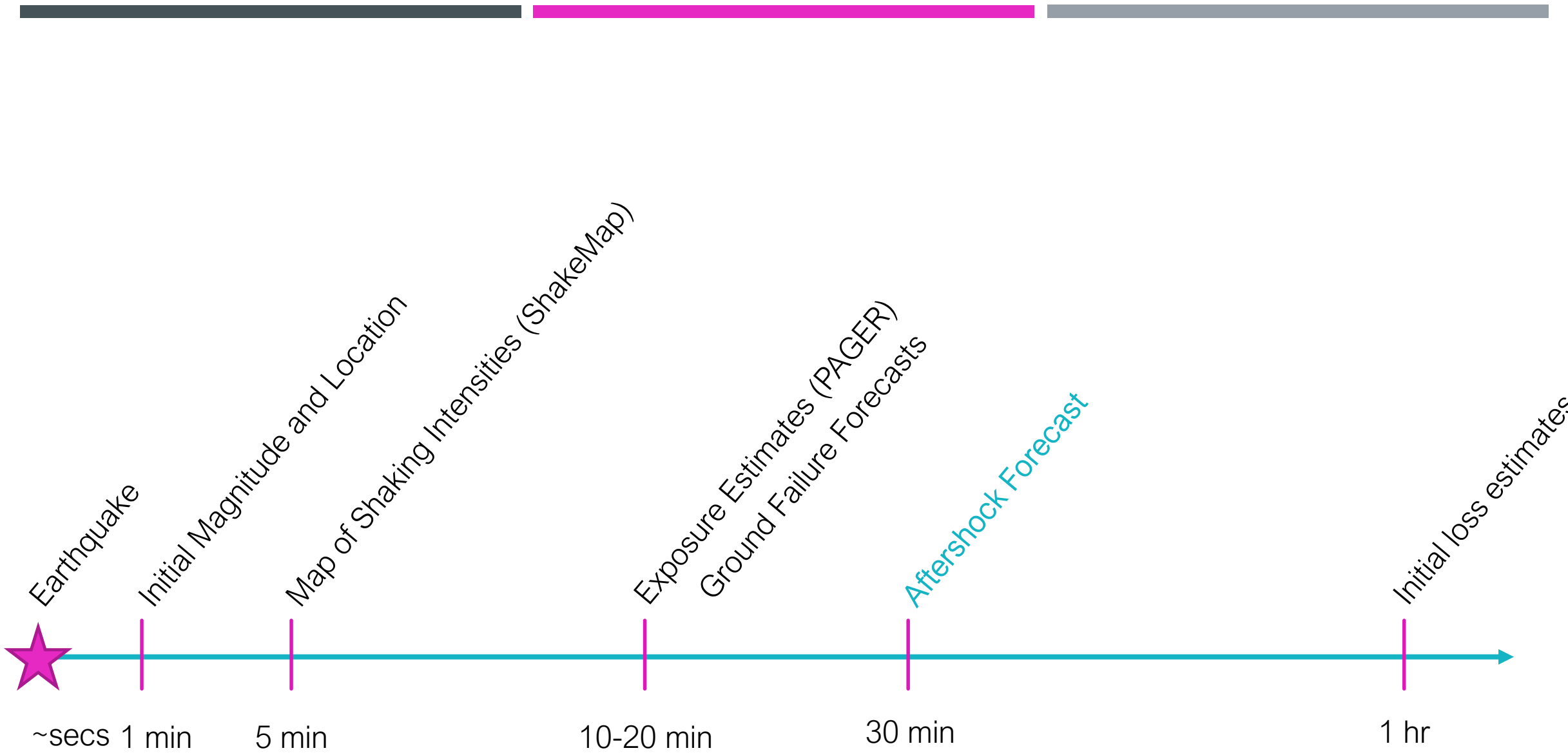
| MMI | City | Population |
|-----|------------------|------------|
| VII | Ridgecrest | 28k |
| VII | China Lake Acres | 2k |
| VII | Inyokern | 1k |
| VI | Searles Valley | 2k |
| V | Adelanto | 32k |
| V | Weldon | 3k |
| IV | Los Angeles | 3,793k |
| III | Mexicali | 597k |
| III | Carson City | 55k |
| III | Sacramento | 466k |
| II | Phoenix | 1,446k |

bold cities appear on map.

(k = x1000)

PAGER content is automatically generated, and only considers losses due to structural damage. Limitations of input data, shaking estimates, and loss models may add uncertainty.
<https://earthquake.usgs.gov/earthquakes/eventpage/ci38457511#pager>

Event ID: ci38457511

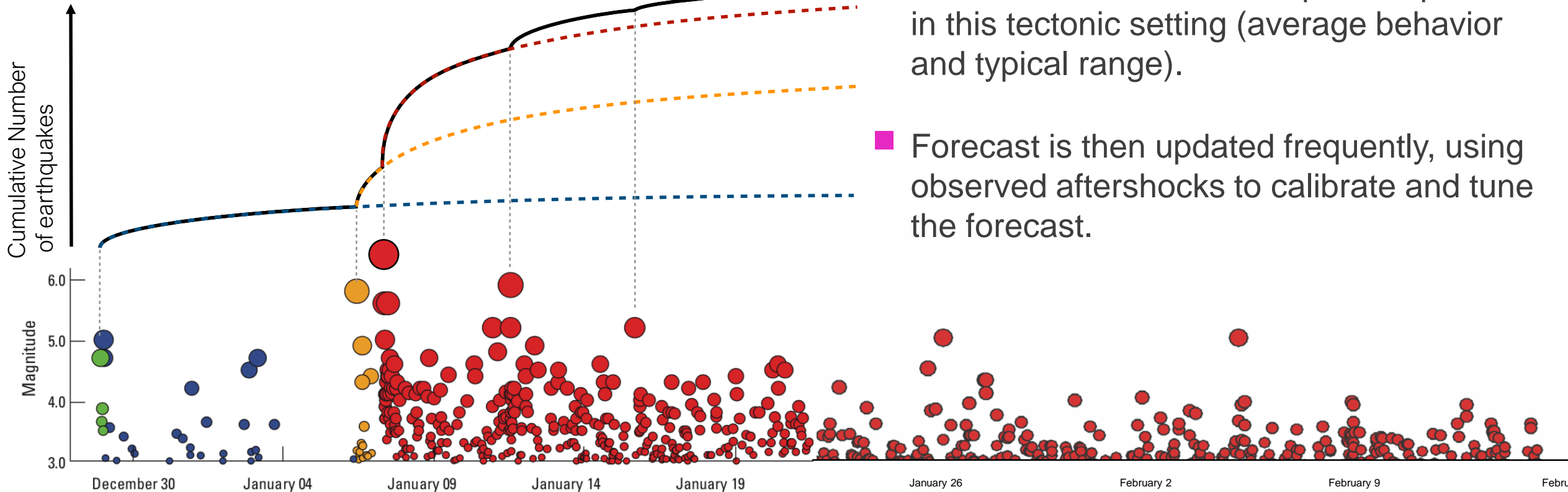


Information products are updated as new data become available

FORECAST MODELS

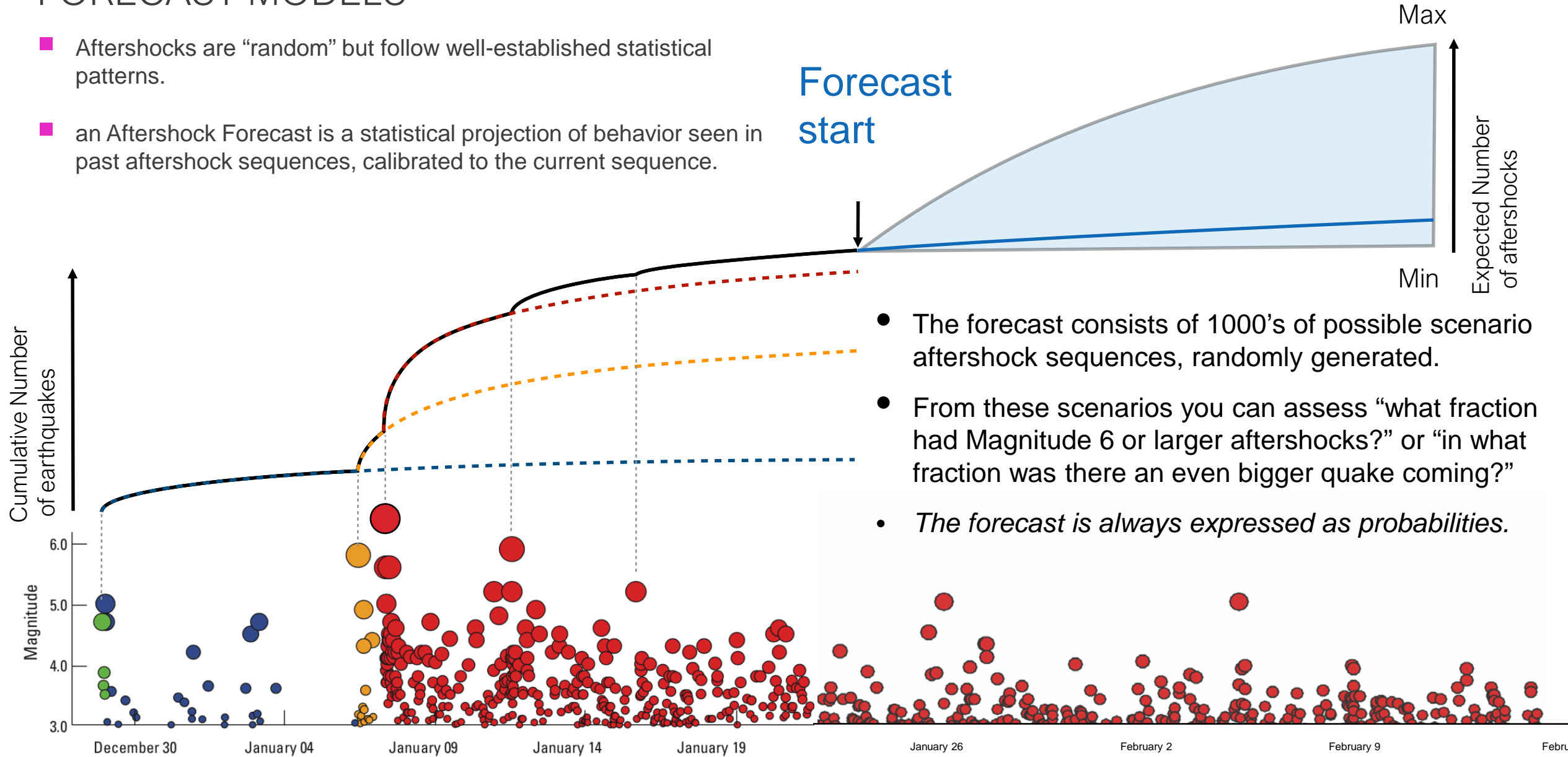
- Aftershocks are “random” but follow well-established statistical patterns.
- an Aftershock Forecast is a statistical projection of behavior seen in past aftershock sequences, calibrated to the current sequence.

- USGS issues aftershock forecasts after all M5.0 and larger earthquakes in the US.
- First forecast delivered after 30 minutes.
- Initial forecast is based on past sequences in this tectonic setting (average behavior and typical range).
- Forecast is then updated frequently, using observed aftershocks to calibrate and tune the forecast.



FORECAST MODELS

- Aftershocks are “random” but follow well-established statistical patterns.
- an Aftershock Forecast is a statistical projection of behavior seen in past aftershock sequences, calibrated to the current sequence.



- The forecast consists of 1000's of possible scenario aftershock sequences, randomly generated.
- From these scenarios you can assess “what fraction had Magnitude 6 or larger aftershocks?” or “in what fraction was there an even bigger quake coming?”
- *The forecast is always expressed as probabilities.*

Automatic USGS forecast

Aftershock Forecast

Contributed by [USGS](#) last updated 2020-02-25 23:49:36 (UTC)

- ✓ The data below are the most preferred data available
- ✓ The data below have been reviewed by a scientist

Commentary

Forecast

Model

Be ready for more earthquakes

- More earthquakes than usual (called aftershocks) will continue to occur near the mainshock.
- When there are more earthquakes, the chance of a large earthquake is greater which means that the chance of damage is greater.
- The USGS advises everyone to be aware of the possibility of aftershocks, especially when in or around vulnerable structures such as unreinforced masonry buildings.
- This earthquake could be part of a sequence. An earthquake sequence may have larger and potentially damaging earthquakes in the future, so remember to: Drop, Cover, and Hold on.

What we think will happen next

According to our forecast, over the next 1 Month there is a 3 % chance of one or more aftershocks that are larger than magnitude 6.4. It is likely that there will be smaller earthquakes over the next 1 Month, with 25 to 200 magnitude 3 or higher aftershocks. Magnitude 3 and above are large enough to be felt near the epicenter. The number of aftershocks will drop off over time, but a large aftershock can increase the numbers again, temporarily.

Scenario 1 – Most Likely (~80%)

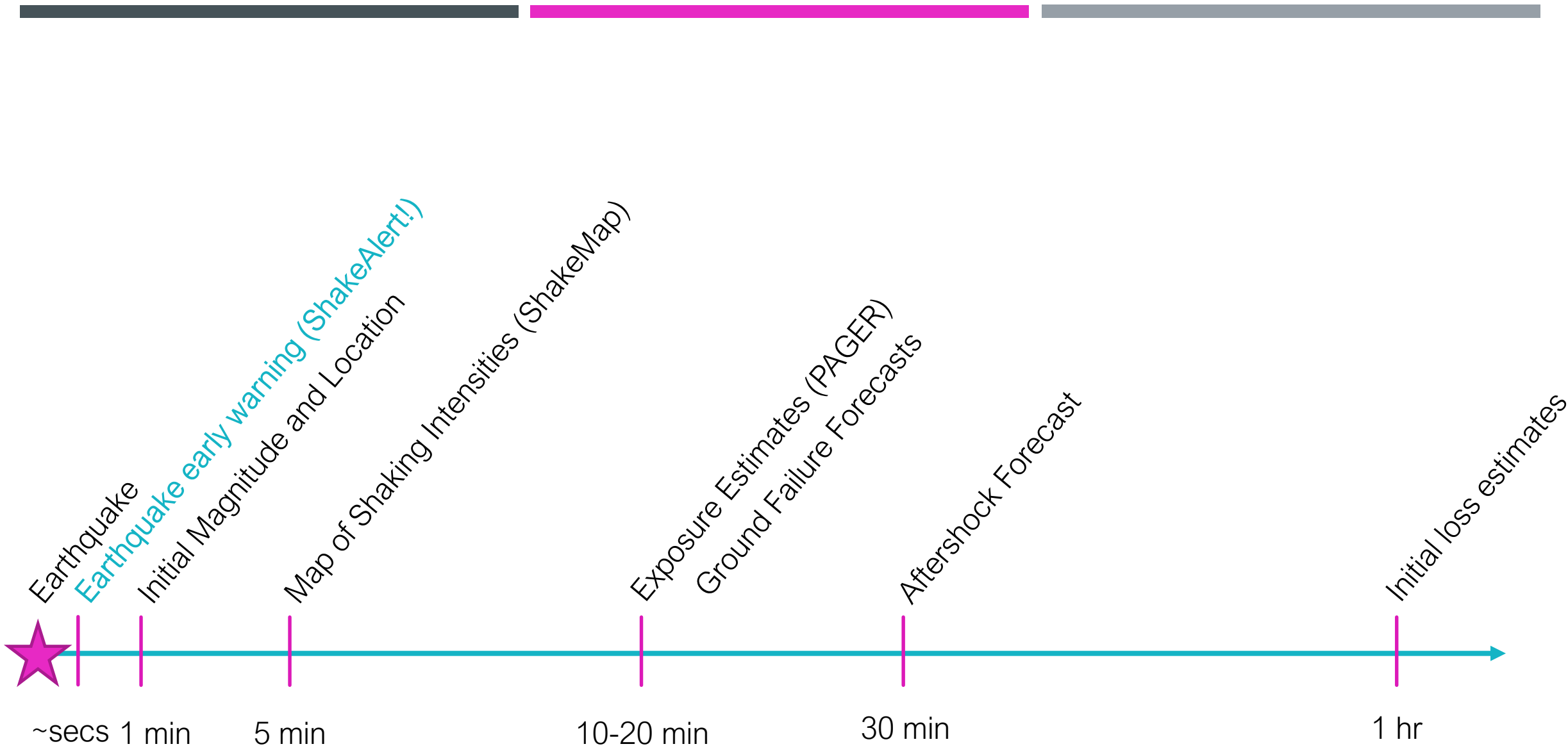
Aftershocks continue, but the worst is over.

Scenario 2 – Less Likely (~15%)

An aftershock large enough to do additional damage (~M6+)

Scenario 3 – Least Likely (~5%)

Something bigger than the mainshock.
(Mainshock is just a foreshock.)



Information products are updated as new data become available

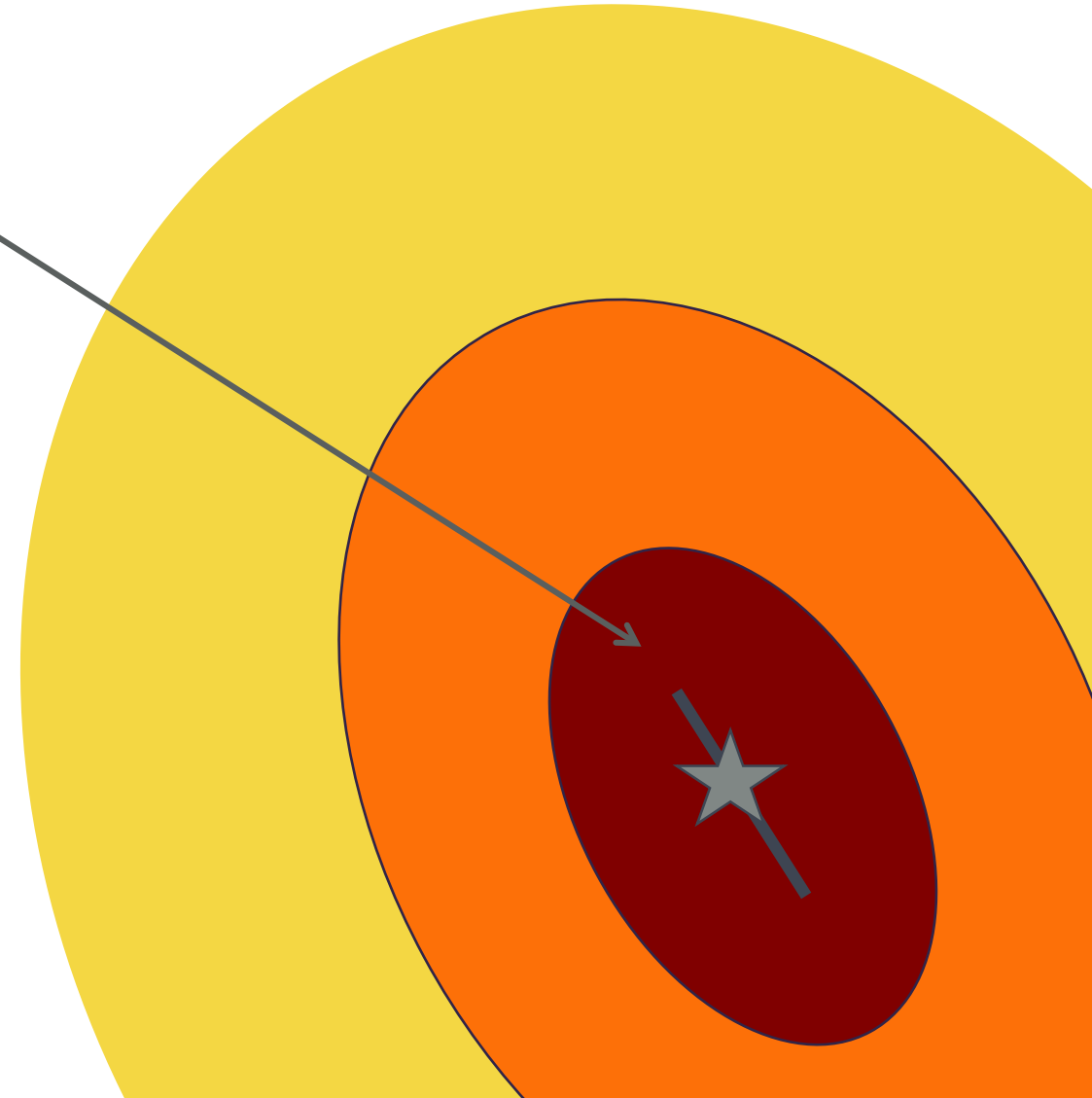
What is Earthquake Early Warning?

Goal: Provide advanced warning of potentially damaging ground shaking so people and machines can take protective actions



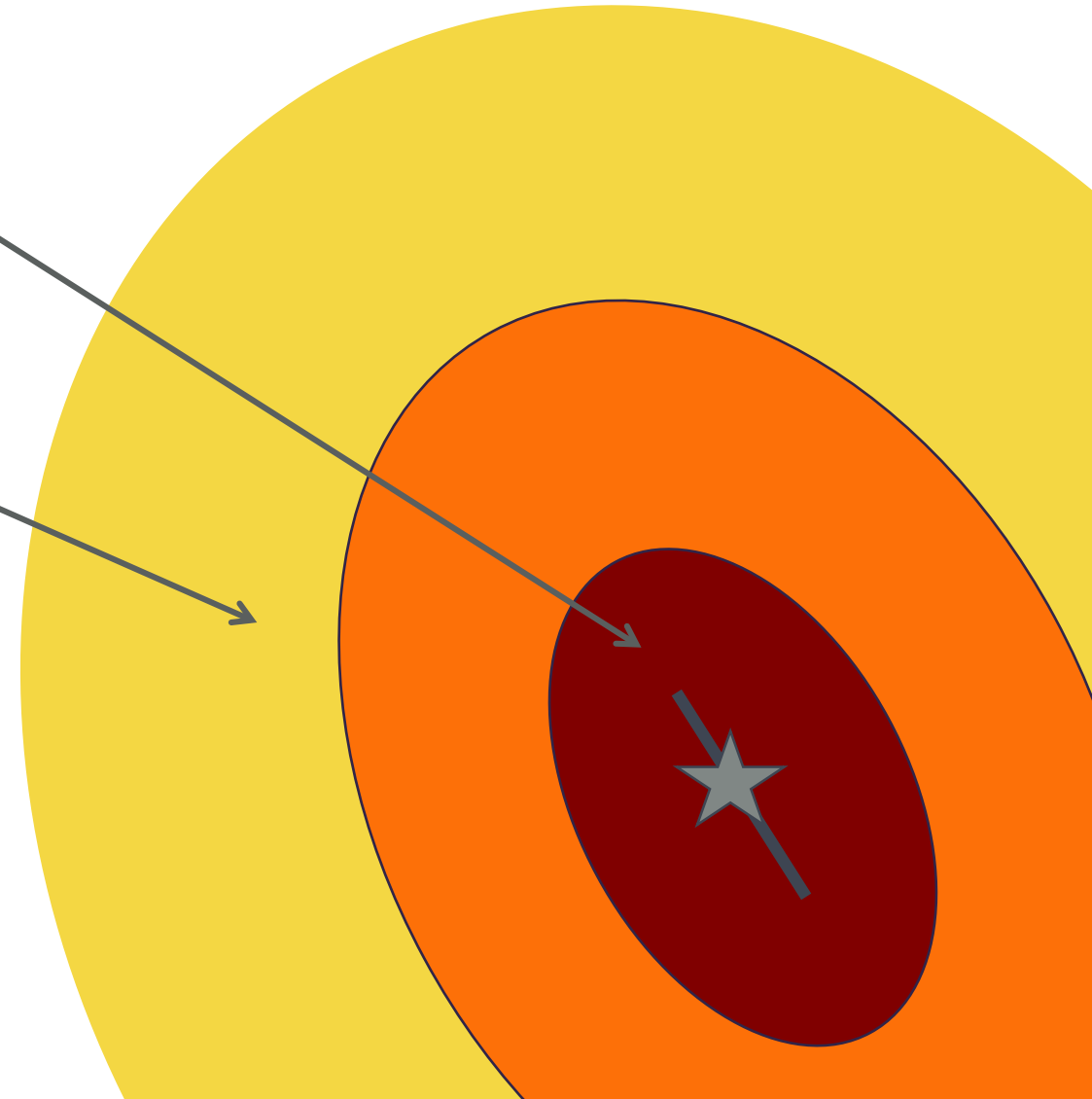
Goldilocks Sweet Spot:

- Too close = strong shaking, but little to no warning



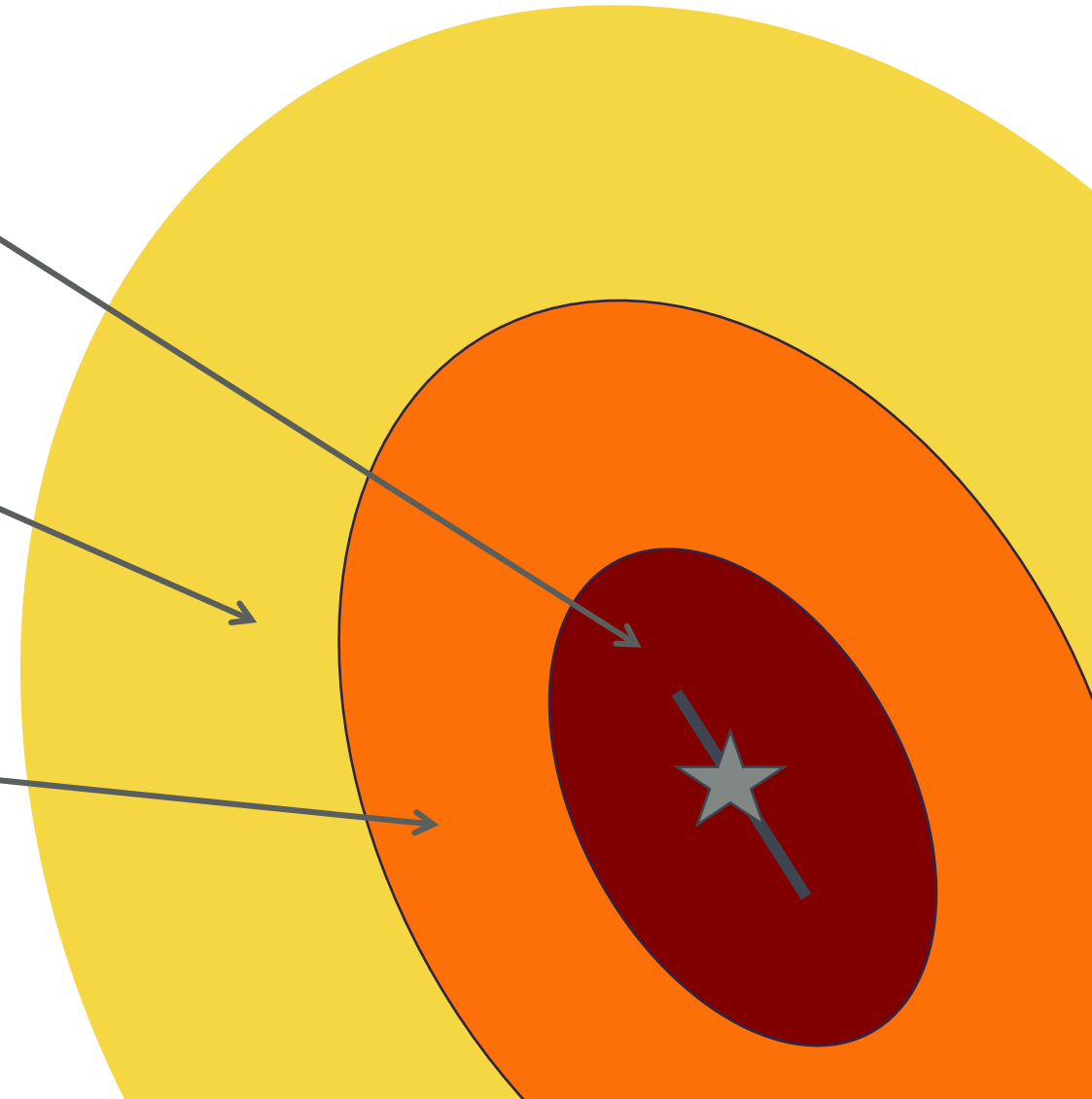
Goldilocks Sweet Spot:

- **Too close** = strong shaking, but little to no warning
- **Too far** = longer warning times possible, but weak shaking



Goldilocks Sweet Spot:

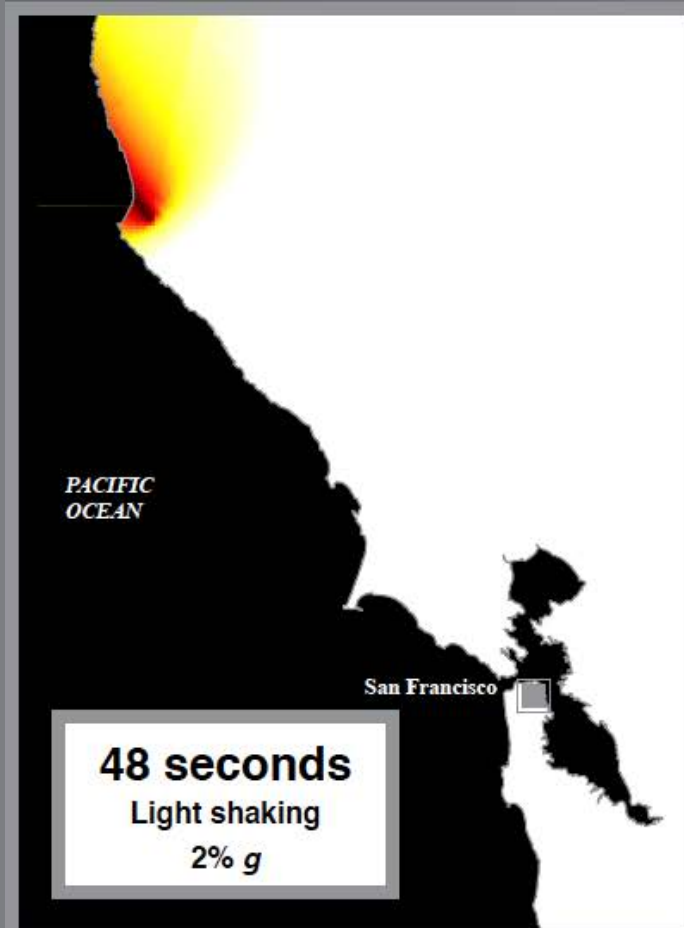
- **Too close** = strong shaking, but little to no warning
- **Too far** = longer warning times possible, but weak shaking
- **Just right** = moderate to strong shaking, and non-zero warning times (up to 10s of seconds)



Goldilocks Sweet Spot #2 (Alerting levels):

- Too soon = little chance of strong shaking, potentially long warning times

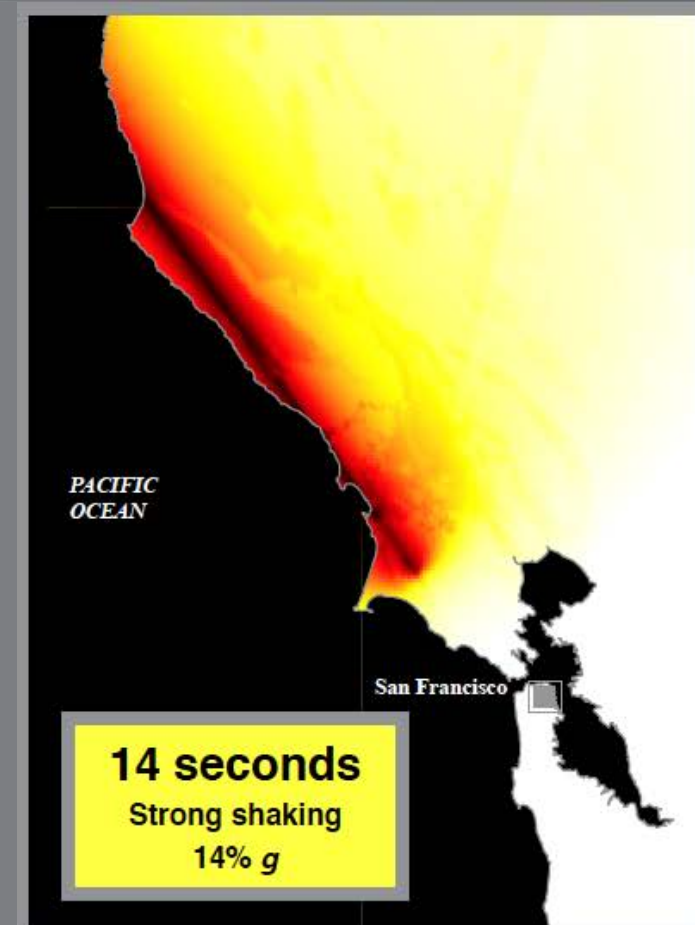
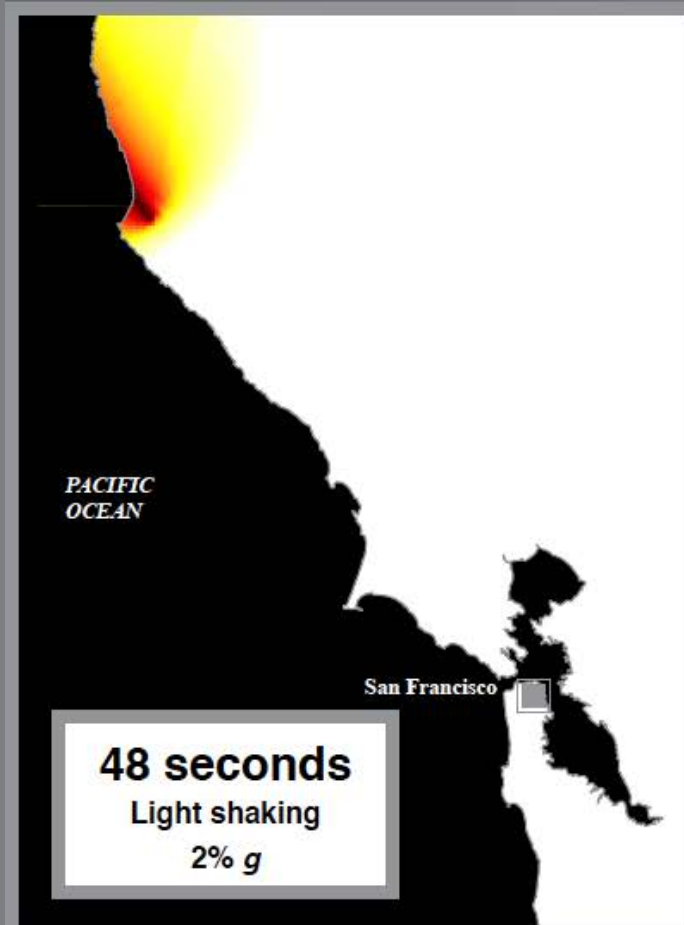
Minson et al. [2018; 2019]



Goldilocks Sweet Spot #2 (Alerting levels):

- Too soon = little chance of strong shaking, potentially long warning times
- Too late = damaging shaking expected, short/no warning times

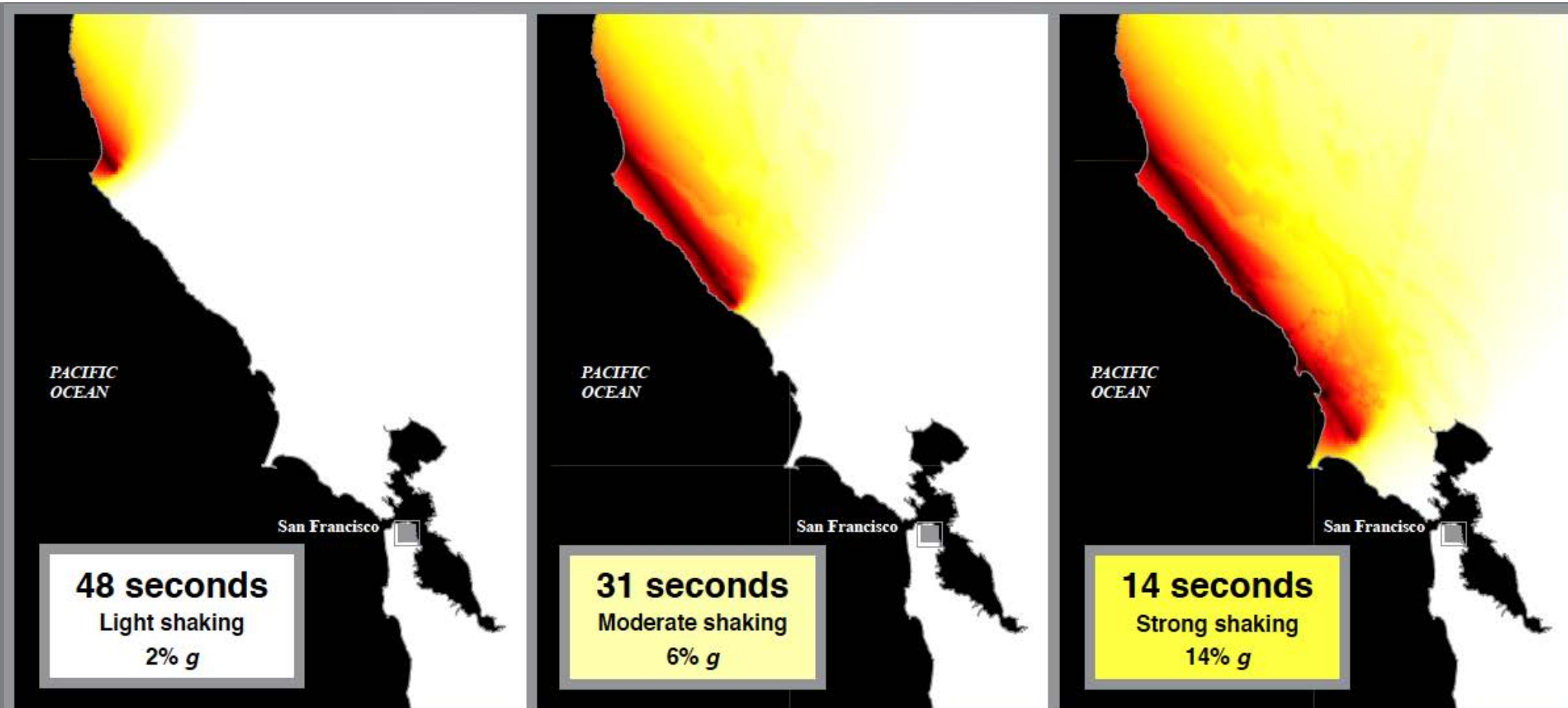
Minson et al. [2018; 2019]



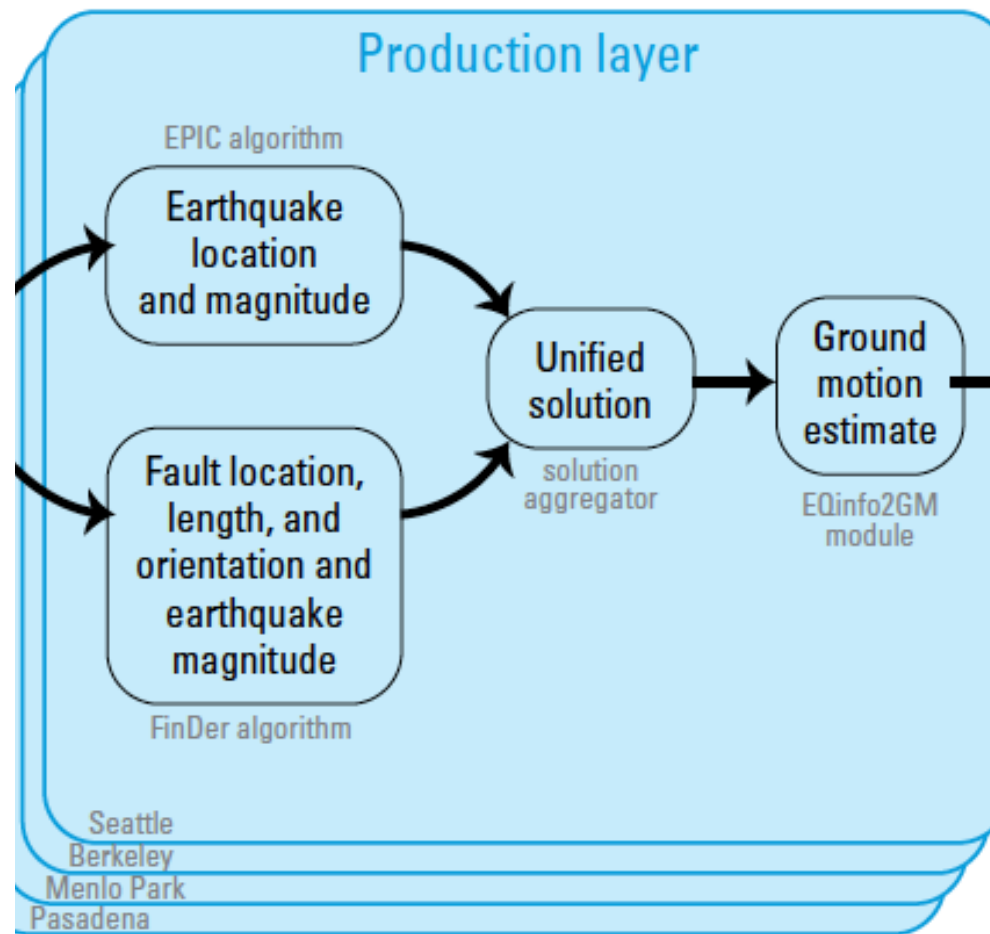
Goldilocks Sweet Spot #2 (Alerting levels):

- **Too soon** = little chance of strong shaking, potentially long warning times
- **Too late** = damaging shaking expected, short/no warning times
- **Just right** = moderate chance of damaging ground motions, moderate warning times possible

Minson et al. [2018; 2019]



ShakeAlert™

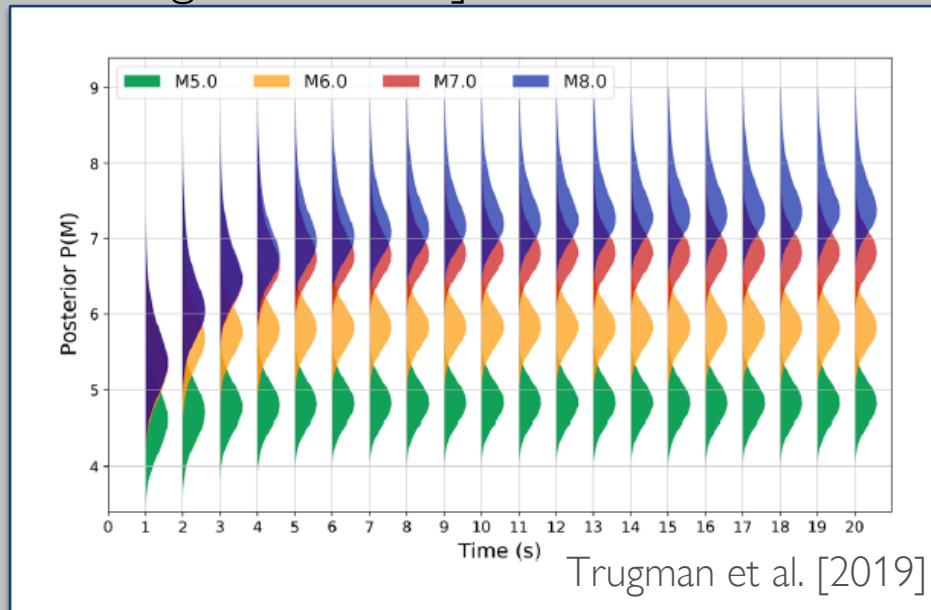


redundant servers
on the West Coast

EPIC

Earthquake Point-source Integrated Code

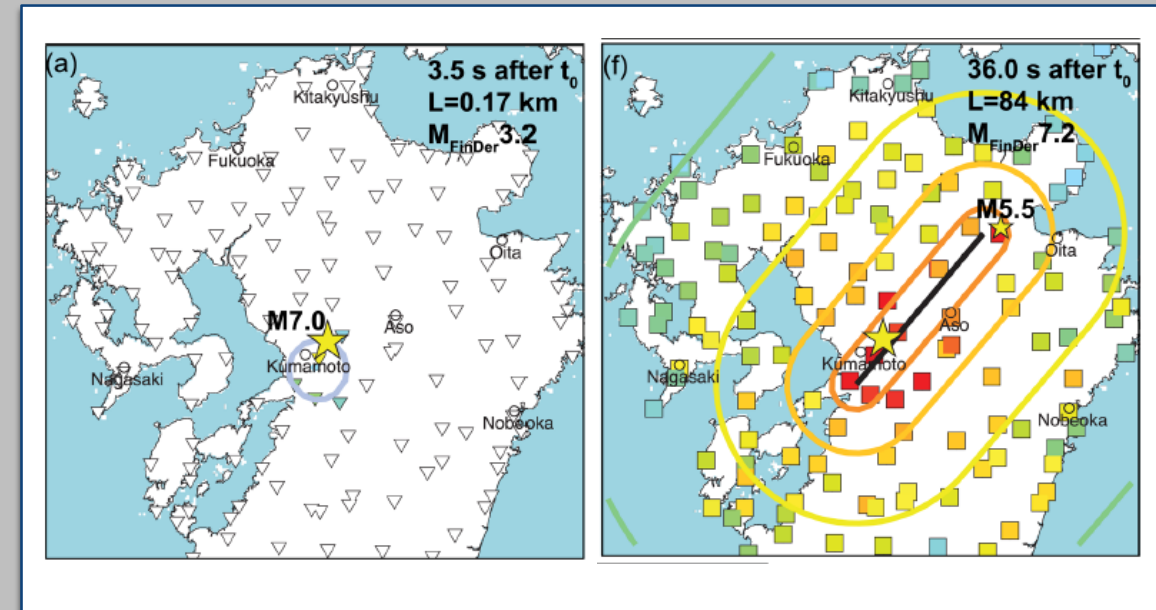
- Estimates point-source location and magnitude
- Peak displacement measured in first 4 seconds (saturates at $M \sim 6.5$)
- Combination of two methods: Onsite [e.g. Kanamori, 2005; Bose et al., 2009] and ElarmS [e.g. Kuyuk et al., 2014; Chung et al., 2019]



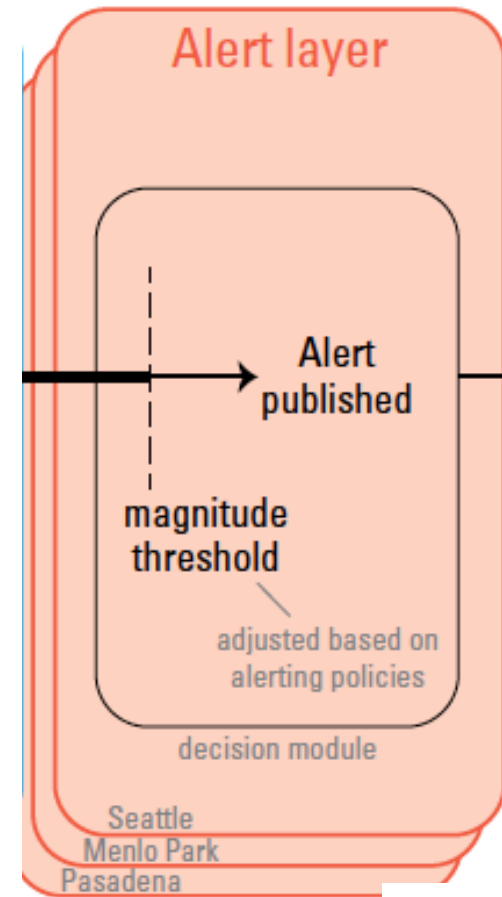
FinDer

Finite-fault rupture Detector

- Estimates a line source from peak acceleration measurements [Bose et al., 2012; 2017]
- Performs best for earthquakes with $M > \sim 6$



ShakeAlert™



1) Event Message

- Earthquake Source
 - Point: location, magnitude & uncertainty
 - “Finite fault” (If M6.0+)

2) Contour Message

- **Event Message + MMI contours**
 - nested 8-pt polygons enclosing areas by MMI, PGA, PGV

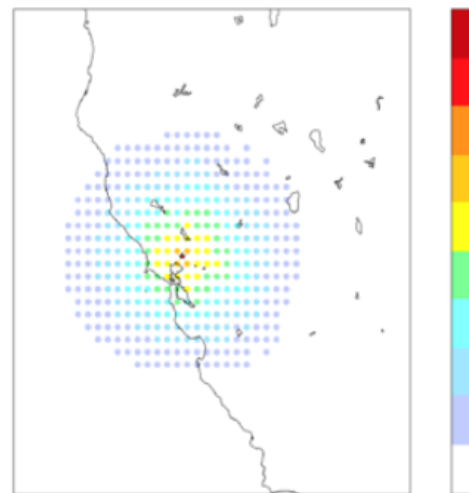
3) Map Grid Message

- **Event Message + MMI grid**
 - grid map of MMI, PGA, PGV
 - ~20km spacing

Also special messages
like CAP for IPAWS/WEA

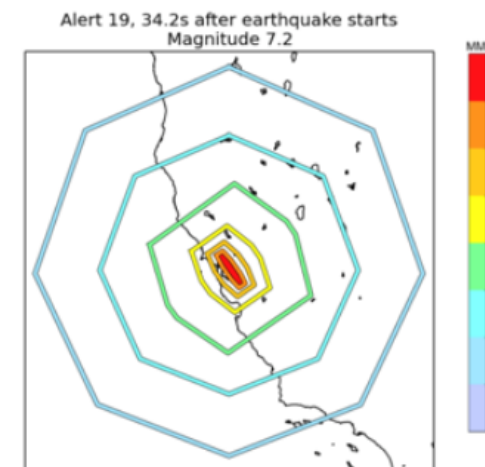


1) Event Info



3) Grid Map

Hayward M7.0 Simulation



2) Contour Map

Alert updates
as event grows



Ridgecrest Sequence

July 4 – July 9

M4.0+ Earthquakes/Alerts:

| | SA | EPIC | FinDer |
|------------|-----------|-------------|---------------|
| Reported | 70 | 43 | 49 |
| Matched | 65 | 42 | 44 |
| Missed | 31 | 53 | 50 |
| False | 1 | 0 | 3 |
| Duplicates | 4 | 1 | 1 |



Weak Shaking Expected

M7.1 Mainshock

First alert:
alert:

Last

+6.8 sec
M5.5

+46.5 sec
M6.3

Data latencies limited
final magnitude
estimates

Event PLAYBACK: 1720.eew-bk-prod2
OriginTime Fri Jul 05 20:19:53 PDT 2019
Epicenter is 12 miles (20 km) N of Ridgecrest
Epicenter is 118 miles (189 km) N of your location

Remaining Time

17

Expected Intensity

Estimated Magnitude

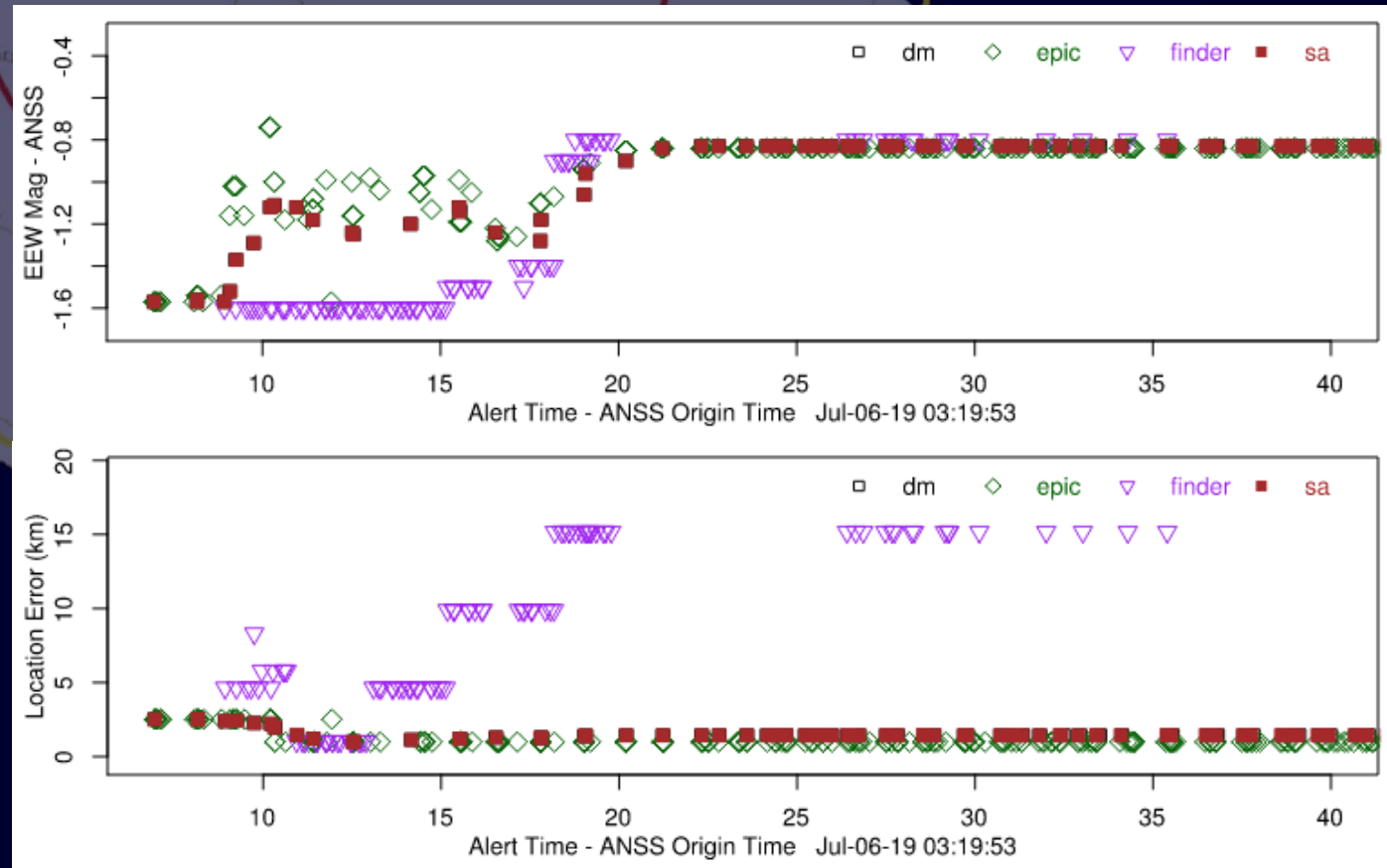


6.3

Probability of Correct Alarm High

Intensity Scale

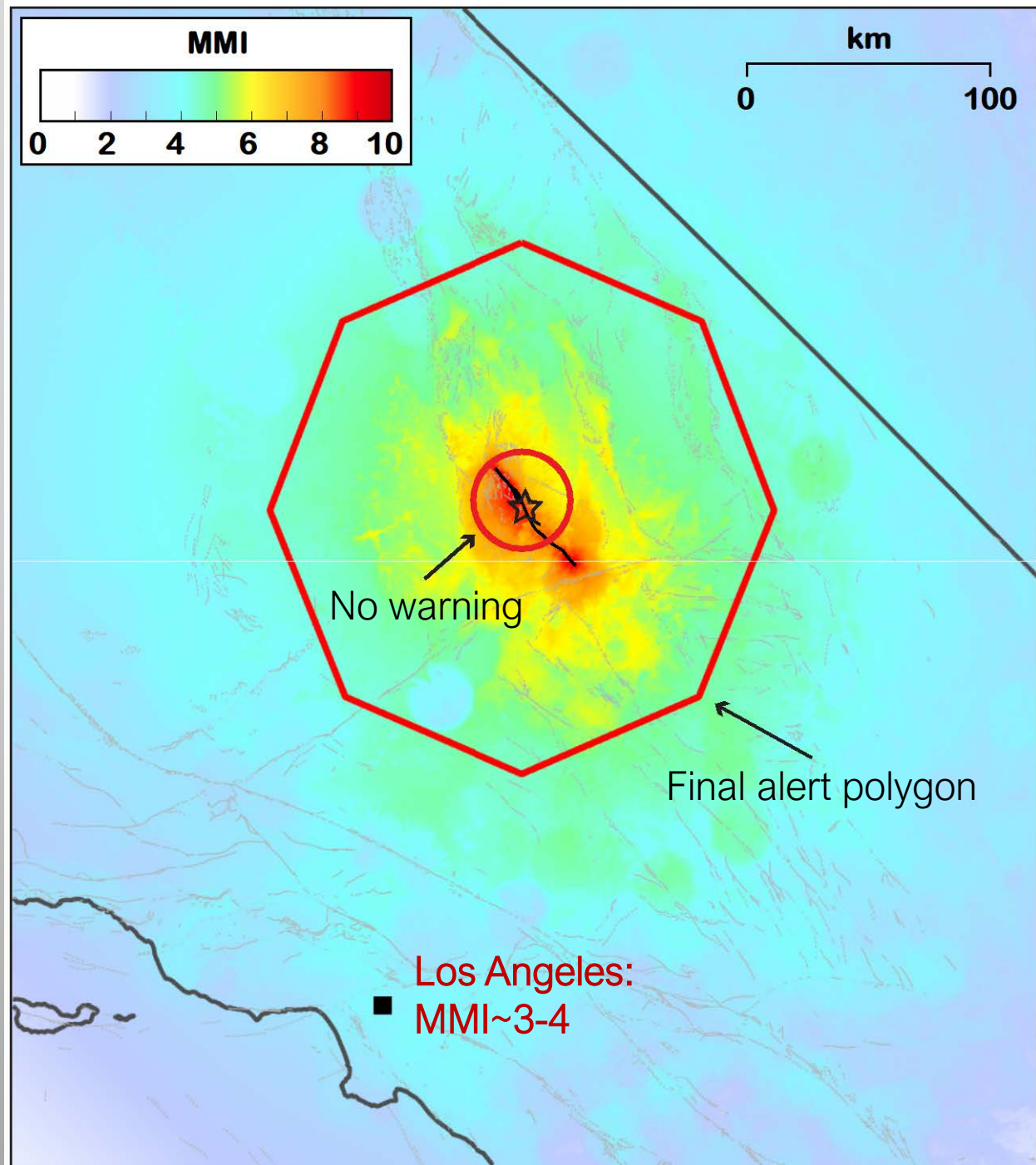
| Not felt | Weak | Light | Moderate | Strong | Very strong | Severe | Violent | Extreme |
|----------|--------|-------|----------|--------|-------------|--------|---------|---------|
| I | II-III | IV | V | VI | VII | VIII | IX | X |



ShakeAlertLA

Should Los Angeles have received an alert?

- Goal: Timely alert for damaging ground motion (MMI 6.0+)
- Alerts issued when M5.0+, MMI 4.0+
- Some parts of LA had MMI 4.0+ ground motion, no alert because of underestimate of magnitude



LOS ANGELES
RESIDENTS WERE
UNHAPPY THEY DIDN'T
RECEIVE A WARNING



heard the
alert threshold



Individual actions (Drop, Cover, Hold On) are generally expected to be low-cost:

- OK to alert for ground motions that are lower than those expected to be damaging
- Precautionary alerts allow for training on appropriate responses



Reasons not to go too low:

- Very difficult to predict low-levels of ground motion (MMI 2-3)
- For MMI of 4 or lower many people may not recognize shaking as an earthquake

Caution! In response to an alert in Mexico City 1 person injured after jumping from the 2nd story of a building and two heart attack deaths
(<http://aristeguinoticias.com/2309/mexico/sismo-en-cdmx-2-muertas-por-infarto-y-un-lesionado-por-lanzarse-de-un-segundo-piso/>)

A topographic map of the Sierra Nevada region in California, showing elevation contours and major cities. A seismic hazard overlay is visible, with red and orange areas indicating high hazard zones near the Sierra Nevada crest, transitioning to yellow and green as the hazard level decreases. The map includes labels for 'CALIFORNIA', 'Sequoia National Park', 'DEATH VALLEY', 'Mojave Desert', and various cities like Visalia, Delano, Ridgecrest, and Barstow. Elevation markers such as 14482 ft and 8029 ft are also present.

SUMMARY

- USGS primer

- Research on various types of induced seismicity
- Suite of post-event products available online
- Input from users/stakeholders always welcome – what do you wish we did?

- Earthquake early warning

- Live in California, coming soon to Pacific Northwest
- Warnings are most useful to users who can act at lower thresholds
- Setting (public) alert thresholds requires careful consideration
 - Need to know current expectations
 - Communicating expectations is key (and complex)

COMMUNICATING EARTHQUAKE HAZARDS: LESSONS FROM EARTHQUAKE ALERTING

Questions?

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